https://entics.episciences.org

Polynomial Universes in Homotopy Type Theory

C.B. Aberlé ^{a,1} David I. Spivak^{b,2}

^a Department of Computer Science Carnegie Mellon University Pittsburgh, PA, USA

^b Topos Institute, Berkeley, CA, USA

Abstract

Awodey, later with Newstead, showed how polynomial functors with extra structure (termed "natural models") hold within them the categorical semantics for dependent type theory. Their work presented these ideas clearly but ultimately led them outside of the usual category of polynomial functors to a particular tricategory of polynomials in order to explain all of the structure possessed by such models. This paper builds off that work—explicating the categorical semantics of dependent type theory by axiomatizing them entirely in terms of the usual category of polynomial functors. In order to handle the higher-categorical coherences required for such an explanation, we work with polynomial functors in the language of Homotopy Type Theory (HoTT), which allows for higher-dimensional structures to be expressed purely within this category. The move to HoTT moreover enables us to express a key additional condition on polynomial functors—univalence—which is sufficient to guarantee that models of type theory expressed as univalent polynomials satisfy all higher coherences of their corresponding algebraic structures, purely in virtue of being closed under the usual constructors of dependent type theory. We call polynomial functors satisfying this condition polynomial universes. As an example of the simplification to the theory of natural models this enables, we highlight the fact that a polynomial universe being closed under dependent product types implies the existence of a distributive law of monads, which witnesses the usual distributivity of dependent products over dependent sums.

Keywords: Dependent Type Theory, Category Theory, Homotopy Type Theory, Polynomial Functors, Natural Models

1 Introduction

The *dependency* of types-of-things on values-of-things is fundamental to our ability to express complex mathematical ideas and build up sophisticated abstractions. By taking this essential idea to heart, dependent type theory [1] provides both of the following:

- An elegant syntax for expressing mathematical ideas, that can moreover be computably realized.
- A robust **categorical semantics** that allow type theoretic syntax to be used in order to work in the internal languages of well-structured categories, and even more complex structures, such as ∞ -categories.

REC'D APR 3, 2025; PUB'D DEC 15, 2025

^{*} This material is based upon work supported by the Air Force Office of Scientific Research under award numbers FA9550-20-1-0348 and FA9550-23-1-0376.

 $^{^{1}}$ Email : caberle@andrew.cmu.edu

² Email: dspivak@gmail.com

Of these, it is the **categorical semantics** of dependent type theory that shall be our focus in this paper. Specifically, although these categorical semantics are evidently quite powerful, they are also notoriously subtle, owing to the issue of *strictness*, whereby various identities that typically hold only up to isomorphism in arbitrary categories must hold *strictly* in order to soundly model the type-theoretic syntax.

A key device in resolving this difficulty turns out to be the categorical machinery of *polynomial functors*. Awodey [3]—and later Newstead [4]—have shown that there is a strong connection between dependent type theory and polynomial functors, via the concept of *natural models*, which cleanly solves the strictness problem via the type-theoretic concept of a *type universe*, and such universes turn out to naturally be regarded as certain polynomial endofunctors on a suitably-chosen category of presheaves.

Although the elementary structure of natural models is thus straightforwardly described by considering them as objects in a category of polynomial functors, Awodey and Newstead were ultimately led to construct a rather complicated tricategory of polynomial functors in order to make sense of those parts of natural models that require identities to hold only up to isomorphism, rather than strictly. There is thus an evident tension between strict and weak identities that has not yet been fully resolved in the story of natural models. In the present work, we build on Awodey and Newstead's work to resolve this impasse by showing how polynomial universes can be fully axiomatized in terms of the ordinary category of polynomial functors, by defining this category internally in the language of Homotopy Type Theory (HoTT) [2].

HoTT thus provides a synthetic setting in which to work with polynomial functors and their higher-categorical coherences without leaving the usual category of polynomial functors. As we shall see, this has a great simplifying effect upon the resultant theory, and reveals many additional structures, both of polynomial universes, and of the category of polynomial functors as a whole. As an illustration of this, we show how every polynomial universe u closed under the usual type formers of dependent type theory, regarded as a polynomial pseudomonad, gives rise to distributive law of u over itself, which in particular witnesses the usual distributive law of dependent products over dependent sums. $\frac{3}{2}$

Additionally, the move from set theory to HoTT as a setting for developing the theory of polynomial universes makes it well-suited to formalization in a proof assistant. We have formalized the main results of this paper in Agda, with the code of this formalization given in the appendix.

2 The Trouble with Dependent Types

In what follows, we work in an informal setting of Martin-Löf type theory [1] and its categorical semantics [13], which we assume familiarity with on the part of the reader.

In the typical (naïve) categorical semantics of dependent type theory [13], one considers a category $\mathcal C$ whose objects are considered as corresponding to contexts Γ , such that morphisms $f:\Gamma\to\Delta$ correspond to substitutions between contexts, with a type $\Gamma\vdash A$ type dependent upon Γ being represented as the substitution $\Gamma, A\to\Gamma$ that forgets the type A from the extended context Γ, A (commonly called a display map). Application of a substitution $f:\Gamma\to\Delta$ to a type $\Delta\vdash A$ is then represented as the pullback

$$\begin{array}{ccc}
\Gamma, A[f] & \longrightarrow \Delta, A \\
\downarrow & & \downarrow \\
\Gamma & \longrightarrow & \Delta
\end{array}$$

In particular, any display map $A: \Gamma, A \to \Gamma$ induces a functor $\mathcal{C}/\Gamma \to \mathcal{C}/\Gamma, A$ by substitution along A, which corresponds to weakening a context by adding a variable of type A. The left and right adjoints to

³ A precursor to this story was attempted by the second-named author in a blog post, which may be useful for readers seeking intuition. There, a proposed self-distributive law of the list monad was claimed to witness dependent products. However, the author only later noticed that one of the four equations for distributive laws was doomed to fail [5] due to the 1-dimensionality of types in the category of sets. The present project was inspired by this.

the weakening functor (if they exist) then correspond to Σ and Π types, respectively.

$$\mathcal{C}/\Gamma, A$$

$$\Sigma_A \left(\neg \bigcap_A A^* \neg \bigcap_A$$

In order for the operation of forming Σ and Π types to be stable under substitution (i.e. pullback), these must additionally satisfy the *Beck-Chevalley* condition:

$$\begin{array}{ccc}
\Gamma, x : A[f] & \xrightarrow{g} \Delta, x : A \\
 & \downarrow_{A[f]} & \downarrow_{A} & \Longrightarrow & (\Sigma_{A}(B))[f] & \stackrel{\simeq}{\leftarrow} \Sigma_{A[f]}(B[g]) \\
\Gamma & \xrightarrow{f} & \Delta
\end{array}$$

Unfortunately, this pleasingly simple story of categorical dependent types is a fantasy, and the interpretation of type-theoretic syntax into categorical semantics sketched above is unsound, as it stands. The problem in essentials is that, in the syntax of type theory, substitution is *strictly* associative, and *strictly* satisfies the Beck-Chevalley condition. However, in the above categorical semantics, substitution is computed by pullback, which is in general only associative up to isomorphism, and likewise for the Beck-Chevalley condition. It is precisely this problem which natural models exist to solve.

2.1 Natural Models

As mentioned previously, the key insight (due originally to Voevodsky) in formulating the notion of a natural model is that the problem of strictness in the semantics of type theory has, in a sense, already been solved by the notion of a *type universe*, i.e. a type \mathcal{U} whose elements can themselves be regarded as types. In categorical semantics, we interpret such a universe as a display map $u: \mathcal{U}_{\bullet} \to \mathcal{U}$. A type in context Γ may then be represented as a morphism $A: \Gamma \to \mathcal{U}$, rather than a display map $\Gamma, A \to \Gamma$, which we may recover as the pullback:

$$\begin{array}{ccc}
\Gamma, A & \longrightarrow & \mathcal{U}_{\bullet} \\
\downarrow & & \downarrow u \\
\Gamma & \xrightarrow{A} & \mathcal{U}
\end{array}$$

We say that a display map $\Gamma, A \to \Gamma$ is *classified* by \mathcal{U} if there is a pullback square as above.

Hence given a universe of types \mathcal{U} , rather than representing substitution as pullback, we can simply represent the action of applying a substitution $f:\Gamma\to\Delta$ to a family of types $A:\Delta\to\mathcal{U}$ as the precomposition $A\circ f:\Gamma\to\mathcal{U}$, which is automatically strictly associative, and strictly satisfies the Beck-Chevalley condition for Σ types / Π types if \mathcal{U} is closed under Σ types / Π types, respectively (although what it means for \mathcal{U} to be closed under Σ and Π types is rather nontrivial—indeed, this topic forms the main subject of this paper).

To interpret the syntax of dependent type theory in a category \mathcal{C} of contexts and substitutions, it therefore suffices to *embed* \mathcal{C} into a category whose type-theoretic internal language possesses such a *universe* whose types correspond to those of \mathcal{C} . A natural candidate for such an embedding is the *Yoneda* embedding $\mathbf{y}: \mathcal{C} \to \mathbf{Set}^{\mathcal{C}^{op}}$.

Hence we can work in the category of presheaves $\mathbf{Set}^{\mathcal{C}^{\mathrm{op}}}$ to study the type-theoretic language of \mathcal{C} . The universe \mathcal{U} is then given by:

(i) an object of $\mathbf{Set}^{\mathcal{C}^{\mathrm{op}}}$, i.e. a contravariant functorial assignment, to each context Γ , of a set $\mathcal{U}(\Gamma)$ of types in context Γ , together with

(ii) an object $u \in \mathbf{Set}^{\mathcal{C}^{\mathrm{op}}}/\mathcal{U}$, i.e. a natural transformation $u : \mathcal{U}_{\bullet} \to \mathcal{U}$, where for each context Γ , $\mathcal{U}_{\bullet}(\Gamma)$ is the set of terms in context Γ , and $u_{\Gamma} : \mathcal{U}_{\bullet}(\Gamma) \to \mathcal{U}(\Gamma)$ assigns each term to its type.

The condition that all types in \mathcal{U} "belong to \mathcal{C} " can then be expressed by requiring u to be representable in the following sense: for any representable $\gamma \in \mathbf{Set}^{\mathcal{C}^{\mathrm{op}}}$ with $\alpha : \gamma \to \mathcal{U}$, the pullback

$$\gamma.\alpha \longrightarrow \mathcal{U}_{\bullet}
\downarrow \qquad \qquad \downarrow u
\gamma \longrightarrow \mathcal{U}$$

is representable. In particular, this says that, given a context Γ and a type $A \in \mathcal{U}[\Gamma]$, there is a context Γ, A together with a substitution $\Gamma, A \to A$ that corresponds to the above pullback under the Yoneda embedding. Type-theoretically, this corresponds to the operation of *context extension*.

The question, then, is how to express that \mathcal{C} has Σ types, Π types, etc., in terms of the structure of u. Toward answering this question, we may note that u gives rise to an endofunctor (indeed, a polynomial endofunctor) $P_u : \mathbf{Set}^{\mathcal{C}^{\mathrm{op}}} \to \mathbf{Set}^{\mathcal{C}^{\mathrm{op}}}$, defined by

$$P_u(X) = \sum_{A:\mathcal{U}} X^{\mathcal{U}_{\bullet}[A]}$$

(This notation will be made precise momentarily). As it turns out, much of the type-theoretic structure of u (and by extension \mathcal{C}) can be accounted for in terms of this functor. For instance, u is closed under unit and Σ types if and only if P_u carries the structure of a Cartesian pseudomonad on $\mathbf{Set}^{\mathcal{C}^{\mathrm{op}}}$ (c.f. Theorem 2.3 of [4]). To see why this is the case, we could, on the one hand, proceed as in past developments of the theory of natural models and define a certain tricategory of polynomial functors in which such pseudomonads can be defined. However, here we diverge from these past approaches, and instead develop the theory of polynomial functors in the language of *Homotopy Type Theory*.

Polynomial Functors in HoTT 3

In order to understand the higher-dimensional identities and coherences of type formers in natural models, we now change our setting from the extensional type-theoretic language of 1-topoi such as $\mathbf{Set}^{\mathcal{C}^{\mathrm{op}}}$ to the intensional type-theoretic language of ∞-topoi, which is a form of HoTT [14]. All the constructions on natural models we considered previously carry over to this setting—mutatis mutandis—by replacing the category of presheaves $\mathbf{Set}^{\mathcal{C}^{\mathrm{op}}}$ with the ∞ -category of presheaves $\infty \mathbf{Grpd}^{\mathcal{C}^{\mathrm{op}}}$. Working internally in the language of $\infty \mathbf{Grpd}^{\mathcal{C}^{\mathrm{op}}}$, let Type denote the type of types 5 and let Type be the category of types and functions between them. For any type A, let y^{A} denote the corresponding

co-representable functor $\mathbf{Type} \to \mathbf{Type}$ that maps a type y to the function type A \to y. A polynomial endofunctor $P: \mathbf{Type} \to \mathbf{Type}$ is an endofunctor on \mathbf{Type} equivalent to a sum of such co-representables

$$P(y) = \sum_{x:A} y^{B[x]}$$

for some type A and a family of types B[x] indexed by x:A. The data of a polynomial functor is thus

⁴ Since essentially all of the categorical structures considered henceforth in this paper shall be infinite dimensional, we shall generally omit the prefix " ∞ " from our descriptions of these structures. Hence hereafter "category" means ∞ -category, "functor" means ∞ -functor, "limit" means homotopy limit, and so on, unless otherwise specified.

⁵ Technically, we assume an infinite hierarchy of stratified type universes in order to avoid inconsistency. However, for the purpose of high-level explanation, we shall generally omit universe levels and speak generically of the "type" of all types and similarly the category of all such, even though, strictly speaking, neither of these exist.

uniquely determined by the choice of A and B. ⁶ Hence we may represent the *type* of such functors as that of pairs (A, B) of this form. In Agda, this type can be expressed as follows:

```
Poly : (\ell \ \kappa : \text{Level}) \rightarrow \text{Type } ((\text{lsuc } \ell) \ \sqcup \ (\text{lsuc } \kappa)) Poly \ell \ \kappa = \Sigma \ (\text{Type } \ell) \ (\lambda \ \text{A} \rightarrow \text{A} \rightarrow \text{Type } \kappa)
```

The observant reader may note the striking similarity of the above-given formula for a polynomial functor and the endofunctor on $\mathbf{Set}^{\mathcal{C}^{op}}$ defined in the previous section on natural models. This is no accident—given a type U and a function $\mathbf{u}:U\to \mathsf{Type}$ corresponding to a natural model as described previously, we obtain the corresponding polynomial $u:\mathsf{Poly}$ as the pair (U, u). Hence we can study the structure of U and u in terms of u, allowing for an elegant treatment of the theory of natural models.

For $p = \sum_{a:A} y^{B(a)}$, $q = \sum_{c:C} y^{D(c)} \in \mathbf{Poly}$, a natural transformation $p \to q$ is equivalently given by:

- a forward map $f : A \rightarrow B$, and
- a $backward \text{ map } g : (a : A) \rightarrow D (f a) \rightarrow B a$

as can be seen from the following argument via Yoneda:

$$\int_{y \in \mathbf{Type}} \left(\sum_{a:A} y^{B(a)} \right) \to \sum_{c:C} y^{D(c)}$$

$$\simeq \prod_{a:A} \int_{y \in \mathbf{Type}} y^{B(a)} \to \sum_{c:C} y^{D(c)}$$

$$\simeq \prod_{a:A} \sum_{c:C} B(a)^{D(c)}$$

$$\simeq \sum_{f:A \to C} \prod_{a:A} B(a)^{D(f(c))}$$

In Agda, we use the notation $p \leftrightarrows q$ to the latter type (AKA the type of dependent lenses—or just lenses—from p to q), which may be written as follows:

$$\subseteq$$
 : \forall { ℓ 0 ℓ 1 κ 0 κ 1} \rightarrow Poly ℓ 0 κ 0 \rightarrow Poly ℓ 1 κ 1 \rightarrow Type (ℓ 0 \sqcup ℓ 1 \sqcup κ 0 \sqcup κ 1) (A , B) \leftrightarrows (C , D) = Σ (A \rightarrow C) (λ f \rightarrow (a : A) \rightarrow D (f a) \rightarrow B a)

Hence we have a category **Poly** of polynomial functors and lenses between them. Our goal, then, is to show how the type-theoretic structure of a natural model naturally arises from the structure of this category. In fact, **Poly** is replete with categorical structures of all kinds, of which we now mention but a few of particular importance to us.

We say that a lens (f , f \sharp) : (A , B) \leftrightarrows (C , D) is *Cartesian* if for every a : A, the map $f\sharp$ a : D[f a] \to B a is an equivalence.

```
module Cart \{\ell 0 \ \ell 1 \ \kappa 0 \ \kappa 1\} \{p: Poly \ \ell 0 \ \kappa 0\} (q: Poly \ \ell 1 \ \kappa 1) \ (f: p \hookrightarrow q) where  \text{isCartesian}: \underbrace{Set}_{} (\ell 0 \ \sqcup \ \kappa 0 \ \sqcup \ \kappa 1) \\ \text{isCartesian} = (a: fst \ p) \rightarrow \text{isEquiv} \ (snd \ f \ a)  open Cart public
```

In what follows, Cartesian lenses shall be of special interest to us, owing to the fact that the existence of a Cartesian lens $p \to u$ in a certain sense expresses that u is closed under all the types encoded by p. Specifically, if we view $\mathbf{p} = (\mathbf{A}, \mathbf{B})$ as an A-indexed family of types, given by \mathbf{B} , then the existence of a Cartesian lens (f, f\pmu): $\mathbf{p} \leftrightarrows u$ essentially shows that, for each a: A there is an element f a: U such that $B[a] \simeq u[f \ a]$, i.e. every type in the family B[a] is equivalent to one for which there exists a

⁶ A similar treatment to ours of universes via polynomial functors, there referred to as "containers," is given in [15], including the treatment of Σ types via monadic structure. In our case, we leverage some additional properties of the category of polynomial enfodunctors, such as the Vertical-Cartesian factorization system in particular, to additionally characterize Π types in terms of distributive laws.

⁷ For a proof that this notion of Cartesian morphism between polynomials is equivalent to the ordinary definition of Cartesian natural transformations between polynomial functors, see Chapter 5.5 of [8]

"code" in U. To show that u is closed under Σ types, Π types, etc., we therefore need only find polynomials that suitably represent these types, and ask that there be Cartesian morphisms from these to u.

Cartesian lenses are also closed under composition, so there is a wide subcategory $\mathbf{Poly^{Cart}}$ of the category of polynomial functors, whose morphisms are Cartesian lenses. By studying which categorical properties of \mathbf{Poly} are inherited by $\mathbf{Poly^{Cart}}$, we can then deduce the corresponding properties of polynomial universes/natural models. This shall be our method of choice in what follows.

3.1 Composition of Polynomial Functors and Σ Types

As endofunctors on **Type**, polynomial functors may straightforwardly be composed. To show that the resulting composite is itself (equivalent to) a polynomial functor, we can reason via the following chain of equivalences: given polynomials (A , B) and (C , D), their composite, evaluated at a type y is

$$\sum_{a:A} \left(\sum_{c:C} y^{D(c)}\right)^{B(a)}$$

$$\simeq \sum_{a:A} \sum_{f:B(a)\to C} \prod_{b:B(a)} y^{D(f(b))}$$

$$\simeq \sum_{(a,f):\sum_{a:A} C^{B(a)}} y^{\sum_{b:B(a)} D(f(b))}$$

This then defines a monoidal product \triangleleft on **Poly** with monoidal unit given by the identity functor y:

$$\begin{array}{l} y : \ \mathsf{Poly} \ \mathsf{lzero} \ \ \\ y = (\top \ , \ \lambda \ _ \to \top) \\ \\ _ \multimap _ : \ \forall \ \big\{ \ell 0 \ \ell 1 \ \kappa 0 \ \kappa 1 \big\} \to \mathsf{Poly} \ \ell 0 \ \kappa 0 \to \mathsf{Poly} \ \ell 1 \ \kappa 1 \\ \\ \to \ \mathsf{Poly} \ (\ell 0 \ \sqcup \ \kappa 0 \ \sqcup \ \ell 1) \ (\kappa 0 \ \sqcup \ \kappa 1) \\ (\texttt{A} \ , \ \texttt{B}) \ \multimap \ (\texttt{C} \ , \ \texttt{D}) \ = \\ \\ (\ \Sigma \ \texttt{A} \ (\lambda \ \texttt{a} \to \texttt{B} \ \texttt{a} \to \texttt{C}) \\ \\ , \ \lambda \ (\texttt{a} \ , \ \texttt{f}) \to \Sigma \ (\texttt{B} \ \texttt{a}) \ (\lambda \ \texttt{b} \to \texttt{D} \ (\texttt{f} \ \texttt{b})) \) \end{array}$$

By construction, the existence of a Cartesian lens (σ , $\sigma\sharp$) : $u \triangleleft u \leftrightarrows u$ effectively shows that u is closed under Σ types, since:

• σ maps a pair (A, B) consisting of A: U and B: (u A) \rightarrow U to a term σ (A,B) representing the Σ type. This corresponds to the type formation rule

$$\frac{\Gamma \vdash A : \mathsf{Type} \qquad \Gamma, x : A \vdash B[x] \; \mathsf{Type}}{\Gamma \vdash \Sigma x : A.B[x] \; \mathsf{Type}}$$

• For all (A , B) as above, $\sigma\sharp$ (A , B) takes a term of type σ (A , B) and yields a term fst ($\sigma\sharp$ (A , B)) : A along with a term snd ($\sigma\sharp$ (A , B)) : B (fst ($\sigma\sharp$ (A , B))), corresponding to the elimination rules

$$\frac{\Gamma \vdash p : \Sigma x : A.B[x]}{\Gamma \vdash \pi_1(p) : A} \quad \frac{\Gamma \vdash p : \Sigma x : A.B[x]}{\Gamma \vdash \pi_2(p) : B[\pi_1(p)]}$$

• The fact that $\sigma \sharp$ (A , B) has is an equivalence implies it has an inverse $\sigma \sharp^{-1}$ (A , B) : Σ (u A) (λ x \rightarrow u (B x)) \rightarrow u (σ (A , B)), which takes a pair of terms to a term of the corresponding pair type, and thus corresponds to the introduction rule

$$\frac{\Gamma \vdash a : A \qquad \Gamma \vdash b : B[a]}{\Gamma \vdash (a,b) : \Sigma x : A.B[x]}$$

• The fact that $\sigma \sharp^{-1}(A, B)$ is both a left and a right inverse to $\sigma \sharp$ then implies the usual β and η laws for dependent pair types

$$\pi_1(a,b) = a$$
 $\pi_2(a,b) = b$ $p = (\pi_1(p), \pi_2(p))$

Similarly, the existence of a Cartesian lens $(\eta, \eta\sharp): y = u$ implies that u classifies the unit type, in that:

• There is an element η tt : U which represents the unit type. This corresponds to the type formation rule

$$\overline{\Gamma \vdash \top : \mathsf{Type}}$$

- The "elimination rule" $\eta \sharp$ tt : $\mathfrak{u}(\eta \ \mathsf{tt}) \to \top$, applied to an element $\mathtt{x} : \mathfrak{u}(\eta \ \mathsf{tt})$ is trivial, in that it simply discards \mathtt{x} . This corresponds to the fact that, in the ordinary type-theoretic presentation, \top does not have an elimination rule.
- However, since this trivial elimination rule has an inverse $\eta \sharp^{-1}$ tt : $\top \to \mathfrak{u}$ (η tt), it follows that there is a (unique) element $\eta \sharp^{-1}$ tt tt : \mathfrak{u} (η tt), which corresponds to the introduction rule for \top :

$$\overline{\Gamma \vdash \mathsf{tt} : \top}$$

• Moreover, the uniqueness of this element corresponds to the η -law for \top :

$$\frac{\Gamma \vdash x : \top}{\Gamma \vdash x = \mathsf{tt}}$$

But then, what sorts of laws can we expect Cartesian lenses as above to obey, and is the existence of such a lens all that is needed to ensure that the natural model u has dependent pair types in the original sense of Awodey & Newstead's definition in terms of Cartesian (pseudo)monads [3,4], or is some further data required? And what about Π types, or other type formers? To answer these questions, we will need to study the structure of \triangleleft , along with some closely related functors, in a bit more detail. In particular, we shall see that the structure of \triangleleft as a monoidal product on **Poly** reflects many of the basic identities one expects to hold of Σ types.

For instance, the associativity of \triangleleft corresponds to the associativity of Σ types.

```
module \triangleleft Assoc {\ell 0 \ell 1 \ell 2 \kappa 0 \kappa 1 \kappa 2} (p : Poly \ell 0 \kappa 0) (q : Poly \ell 1 \kappa 1) (r : Poly \ell 2 \kappa 2) where  \triangleleft assoc : ((p \triangleleft q) \triangleleft r) \leftrightarrows (p \triangleleft (q \triangleleft r))   \triangleleft assoc = ((\lambda ((a , \gamma) , \delta)   \rightarrow (a , (\lambda b \rightarrow (\gamma b , \lambda d \rightarrow \delta (b , d)))))   , (\lambda _{-} (b , (d , x)) \rightarrow ((b , d) , x)) )
```

open ⊲Assoc public

open ⊲LRUnit public

while the left and right unitors of \triangleleft correspond to the fact that \top is both a left and a right unit for Σ .

In fact, \triangleleft restricts to a monoidal product on $\mathbf{Poly}^{\mathbf{Cart}}$, since the functorial action of \triangleleft on lenses preserves Cartesian lenses, and all of the above-defined structure morphisms for \triangleleft are Cartesian. We should expect, then, for these equivalences to be somehow reflected in the structure of Cartesian lenses η : $y \hookrightarrow u$ and μ : $u \triangleleft u \hookrightarrow u$ witnessing the closure of u under \top and Σ . This would be the case, e.g.,

if the following diagrams were to commute:

$$y \triangleleft u \xrightarrow{\eta \triangleleft u} u \triangleleft u \xleftarrow{u \triangleleft \eta} u \triangleleft y \qquad \qquad (u \triangleleft u) \triangleleft u \xrightarrow{\operatorname{dassoc}} u \triangleleft (u \triangleleft u) \xrightarrow{u \triangleleft \mu} u \triangleleft u \qquad \downarrow \mu \qquad (M2)$$

One may recognize these as the usual diagrams for a monoid in a monoidal category, hence (since \triangleleft corresponds to endofunctor composition) for a *monad* as typically defined. However, because of the higher-categorical structure of types in HoTT, we should not only ask for these diagrams to commute, but for the cells exhibiting that these diagrams commute to themselves be subject to higher coherences, and so on, giving u not the structure of a monad, but rather of an ∞ -monad.

Yet demonstrating that u is an ∞ -monad involves specifying a potentially infinite amount of coherence data. Have we therefore traded both the Scylla of equality-up-to-isomorphism and the Charybdis of strictness for an even worse fate of higher coherence hell? The answer to this question, surprisingly, is negative, as there is a way to implicitly derive all of this data from a single axiom, which corresponds to the characteristic axiom of HoTT itself: univalence, as we shall show in the following section. For now, however, we turn to the other main type former of dependent type theory which we have not yet considered: the dependent function type, or Π type.

3.2 The $\uparrow \uparrow$ Functor & Π Types

We have so far considered how polynomial universes may be equipped with structure to interpret the unit type and dependent pair types. We have not yet, however, said much in the way of dependent function types. In order to rectify this omission, it will first be prudent to consider some additional structure on the category of polynomial functors – specifically a new functor $\uparrow \uparrow$ that plays a similar role for Π types as the composition \triangleleft : $\mathbf{Poly} \times \mathbf{Poly} \to \mathbf{Poly}$ played for Σ types, and which in turn bears a close connection to distributive laws in \mathbf{Poly} .

The $\uparrow\uparrow$ functor can be loosely defined as the solution to the following problem: given a polynomial u, find $u\uparrow\uparrow u$ such that u has a Cartesian morphism from $u\uparrow\uparrow u$ if and only if u has the structure to interpret Π types (in the same way that u has a Cartesian morphism from $u \triangleleft u$ if and only if u has the structure to interpret Σ types). Generalizing this to arbitrary pairs of polynomials p = (A, B), q = (C, D) then yields the following formula for $p\uparrow\uparrow q$:

$$p \uparrow \uparrow q = \sum_{(a,f): \sum_{a:A} C^{B(a)}} y^{\prod_{b:B(a)} D(f(b))}$$

and the following definition in Agda:

$$\begin{array}{l} -\uparrow\uparrow_-: \ \forall \ \{\ell 0 \ \ell 1 \ \kappa 0 \ \kappa 1\} \ \rightarrow \ \text{Poly} \ \ell 0 \ \kappa 0 \ \rightarrow \ \text{Poly} \ \ell 1 \ \kappa 1 \\ \rightarrow \ \text{Poly} \ (\ell 0 \ \sqcup \ \kappa 0 \ \sqcup \ \ell 1) \ (\kappa 0 \ \sqcup \ \kappa 1) \\ \text{(A , B)} \ \uparrow\uparrow \ (C \ , D) \ = \\ \ (\ \Sigma \ A \ (\lambda \ a \ \rightarrow \ B \ a \ \rightarrow \ C) \\ \ , \ (\lambda \ (a \ , \ f) \ \rightarrow \ (b \ : \ B \ a) \ \rightarrow \ D \ (f \ b))) \end{array}$$

Note that this construction is straightforwardly functorial with respect to arbitrary lenses in its 2nd argument. Functoriality of the 1st argument is trickier, however. For reasons that will become apparent momentarily, we define the functorial action $p \uparrow q \to p' \uparrow q$ of $\uparrow \uparrow q$ on a lens $f: p \to p'$ equipped with a left inverse $f': p' \to p$, i.e. such that $f' \circ f = \mathrm{id}_p$.

⁸ To see why this is the right choice of morphism for which $\uparrow\uparrow$ is functorial in its first argument, we note that pairs consisting of a morphism and a left inverse for it are equivalently the morphisms between identity morphisms in the

A Cartesian lens $(\pi, \pi\sharp): u \uparrow u = u$ then effectively shows that u is closed under Π -types, since:

• π maps a pair (A , B) consisting of A : U and B : $u(A) \to U$ to a term $\pi(A,B)$ representing the corresponding Π type. This corresponds to the type formation rule

$$\frac{\Gamma \vdash A : \mathsf{Type} \qquad \Gamma, x : A \vdash B[x] \; \mathsf{Type}}{\Gamma \vdash \Pi x : A.B[x] \; \mathsf{Type}}$$

• The "elimination rule" $\pi \sharp$ (A , B), for any pair (A , B) as above, maps an element $f:\pi(A,B)$ to a function $\pi \sharp$ (A , B) $f:(a:u(A)) \to u$ (B x) which takes an element x of A and yields an element of B x. This corresponds to the rule for function application:

$$\frac{\Gamma \vdash f: \Pi x: A.B[x] \qquad \Gamma \vdash a: A}{\Gamma \vdash f \ a: B[a]}$$

• Moreover, for all (A , B), the inverse map $\pi \sharp^{-1}$ (A , B) : $(x : u(A)) \to u(B(x)) \to u(\pi(A,B))$ to $\pi \sharp$ (A , B) corresponds to λ -abstraction:

$$\frac{\Gamma, x: A \vdash f[x]: B[x]}{\Gamma \vdash \lambda x. f[x]: \Pi x: A. B[x]}$$

• The fact that $\pi \sharp^{-1}$ (A , B) is both a left and a right inverse to $\pi \sharp$ then corresponds to the β and η laws for Π types.

$$(\lambda x. f[x]) \ a = f[a] \qquad f = \lambda x. f \ x$$

Although it is clear enough that $\uparrow \uparrow$ serves its intended purpose of characterizing Π types polynomially, its construction seems somewhat more ad hoc than that of \triangleleft , which similarly characterized Σ types in polynomial universes while arising quite naturally from composition of polynomial functors. We would like to better understand what additional properties $\uparrow \uparrow$ must satisfy, and how these in turn are reflected as properties of polynomial universes with Π types. In fact, we will ultimately show that this construction is intimately linked with a quite simple structure on polynomial universes u, namely a distributive law of u (viewed as a monad) over itself. Before that, however, we note some other key properties of $\uparrow \uparrow$.

twisted arrow category of Poly, i.e. diagrams of the following form:

$$p \rightarrow q$$

$$= =$$

$$p \leftarrow q$$

Specifically, let \mathbf{Poly}_R be the category whose objects are polynomials and whose morphisms are lenses equipped with left inverses. Straightforwardly, \triangleleft restricts to a monoidal product on \mathbf{Poly}_R , since it is functorial in both arguments and must preserve left/right inverses. Hence $\uparrow \uparrow$ can be viewed as a functor $\mathbf{Poly}_R \times \mathbf{Poly} \to \mathbf{Poly}$. Then $\uparrow \uparrow$ moreover naturally carries the structure of an *action* on \mathbf{Poly} of the monoidal category \mathbf{Poly}_R equipped with \triangleleft , in that there are equivalences

$$y \uparrow \uparrow p \simeq p$$
 and $(p \triangleleft q) \uparrow \uparrow r \simeq p \uparrow \uparrow (q \uparrow \uparrow r)$

defined as follows:

module Unit $\uparrow\uparrow$ $\{\ell\ \kappa\}$ (p : Poly $\ell\ \kappa$) where

$$\begin{array}{lll} y\!\uparrow\!\uparrow & : & (y\,\uparrow\!\uparrow\,\,\mathrm{p})\, \leftrightarrows \,\mathrm{p} \\ y\!\uparrow\!\uparrow & = & (& (\lambda\,\,(_\,\,,\,\,\mathrm{a})\,\,^{\rightarrow}\,\mathrm{a}\,\,\mathrm{tt})\,\,\,,\,\,\lambda\,\,(_\,\,,\,\,\mathrm{a})\,\,\mathrm{b}\,\,\mathrm{tt}\,\,^{\rightarrow}\,\,\mathrm{b}) \end{array}$$

open Unit↑↑ public

module
$$\triangleleft\uparrow\uparrow$$
 $\{\ell 0 \ \ell 1 \ \ell 2 \ \kappa 0 \ \kappa 1 \ \kappa 2\}$ (p : Poly $\ell 0 \ \kappa 0$) (q : Poly $\ell 1 \ \kappa 1$) (r : Poly $\ell 2 \ \kappa 2$) where

open ⊲↑↑ public

The fact that \(\frac{1}{7}\)Curry is an equivalence corresponds to the usual currying isomorphism relating dependent functions types to dependent pair types:

$$\Pi(x,y): \Sigma x: A.B[x].C[x,y] \simeq \Pi x: A.\Pi y: B[x].C[x,y]$$

Similarly, ↑↑ is colax with respect to ⊲ in its second argument in that there are natural transformations

$$p \uparrow \uparrow y \rightarrow y$$
 and $p \uparrow \uparrow (q \triangleleft r) \rightarrow (p \uparrow \uparrow q) \triangleleft (p \uparrow \uparrow r)$

module $\uparrow\uparrow {\tt Unit}\ \{\ell\ \kappa\}\ ({\tt p}\ :\ {\tt Poly}\ \ell\ \kappa)$ where

open ↑↑Unit public

```
module \uparrow \uparrow \triangleleft \{ \ell 0 \ \ell 1 \ \ell 2 \ \kappa 0 \ \kappa 1 \ \kappa 2 \} (p : Poly \ell 0 \ \kappa 0) (q : Poly \ell 1 \ \kappa 1) (r : Poly \ell 2 \ \kappa 2) where
```

open ↑↑⊲ public

Moreover, this colax structure on $\uparrow \uparrow$ descends to **Poly**^{Cart} in that the natural transformations defined above are *Cartesian*. In particular, the fact that $\uparrow \uparrow \texttt{Distr}$ is Cartesian corresponds to the distributive law of Π types over Σ types, i.e.

$$\Pi x:A.\Sigma y:B[x].C[x,y]\simeq \Sigma f:\Pi x:A.B[x].\Pi x:A.C[x,f(x)]$$

One may wonder whether this distributive law is related to a distributive law of the monad structure on a universe u given by Σ types (as discussed in the previous subsection) over itself, i.e. a morphism

$$u \triangleleft u \rightarrow u \triangleleft u$$

The answer to this question is affirmative, but in order to see why, we must first explain the machinery that allows us to straightforwardly derive the coherence data for such structures from the mere existence of cartesian morphisms as described above, namely *univalence*.

4 Polynomial Universes

For any polynomial u, we say that u is univalent if it is a subterminal object in $\mathbf{Poly}^{\mathbf{Cart}}$, i.e. for any other polynomial \mathbf{p} , the type of Cartesian lenses $\mathbf{p} \subseteq u$ is a mere proposition, or in other words, any two Cartesian lenses with codomain u are equal.

```
isUnivalent : \forall {\ell \kappa} → Poly \ell \kappa → Set\omega isUnivalent u = \forall {\ell' \kappa'} {p : Poly \ell' \kappa'} \rightarrow {f g : p \leftrightarrows u} \rightarrow isCartesian u f \rightarrow isCartesian u g \rightarrow f \equiv g
```

We call this property of polynomials univalence in analogy with the usual univalence axiom of HoTT, since the univalence axiom for a universe \mathcal{U} is equivalent to the statement that the polynomial

$$\sum_{A:\mathcal{U}} y^A$$

is univalent in the above sense. Indeed, the statement that there are enough univalent families in $\infty \mathbf{Grpd}^{\mathcal{C}^{\mathrm{op}}}$ is equivalent to the existence of a $terminal\ family$ in $\mathbf{Poly}^{\mathbf{Cart}}$, i.e. a collection of subterminal objects $u_i \in \mathbf{Poly}^{\mathbf{Cart}}$ such that every $p \in \mathbf{Poly}^{\mathbf{Cart}}$ has a Cartesian morphism to $some\ u_i$. In what follows, we therefore assume the existence of such a terminal family u_i , which, although not strictly necessary for the main theorems of this paper to do with semantics of dependent type theory, 9 is useful for constructing illustrative examples.

We refer to univalent polynomial functors as *polynomial universes*. If we think of a polynomial p as representing a family of types, then what this tells us is that if u is a polynomial universe, there is essentially at most one way for u to contain the types represented by p, where containment is here understood as existence of a Cartesian lens p = u. In this case, we say that u classifies the types represented by p.

As a direct consequence of this fact, it follows that every diagram consisting of parallel Cartesian lenses into a polynomial universe automatically commutes, and moreover, every higher diagram that can be formed between the cells exhibiting such commutation must also commute, etc.

Hence we have the following:

Theorem 4.1 If u is a polynomial universe with Cartesian lenses $\eta: y \leftrightarrows u$ and $\mu: u \triangleleft u \leftrightarrows u$, then the diagrams M1, M2 given in §3.1 commute.

 $^{^{9}}$ which are in fact all valid in ordinary intensional Martin-Löf type theory without univalence

The proof is essentially by observation that all paths through the diagrams M1 and M2 are Cartesian morphisms that terminate in u and hence, by univalence of u, must be equal. Moreover, one can in principle reason similarly to derive all the higher coherence data of an ∞ -monad, although, since it is not currently known how to express the totality of such data as a type in HoTT, this cannot be expressed directly as a theorem in HoTT at present.

For Π types, the situation is more complex. In the remainder of this section, we will concern ourselves with showing that the closure of a univalent universe u under Π types gives rise to a distributive law of the monad structure on u over itself.

Recall that a (1-dimensional) distributive law of a monad m over another monad n is essentially an answer to the question "when is the composite $m \triangleleft n$ also a monad?" given by a morphism:

$$\aleph: n \triangleleft m \rightarrow m \triangleleft n$$

such that the following diagrams commute:

Note that, in the case where m = n = u, the morphisms identified by these diagrams are *not* generally Cartesian morphisms into u, so we cannot immediately apply univalence as we did for the monad laws. The solution to this problem proceeds in several steps:

(i) We first generalize from distributive laws \aleph , as above, to $distributors, ^{10}$ which are morphisms of the form

$$p \triangleleft q \rightarrow r \triangleleft s$$

(ii) We likewise generalize the definition of the $\uparrow\uparrow$ functor given previously to an action on **Poly** of the *twisted arrow category* $\mathsf{Tw}(\mathbf{Poly})$ of \mathbf{Poly} . In particular, since \mathbf{Poly}^R as defined previously is equivalent to the full (monoidal) subcategory of $\mathsf{Tw}(\mathbf{Poly})$ spanned by identity morphisms on polynomial functors, our previous definition of $\uparrow\uparrow$ turns out to be the restriction of this generalized definition from an action of $\mathsf{Tw}(\mathbf{Poly})$ to one of \mathbf{Poly}^R along the embedding

$$\mathbf{Poly}^R \hookrightarrow \mathsf{Tw}(\mathbf{Poly})$$

In what follows, we therefore continue to write $p \uparrow \uparrow q$ as a shorthand for $id_p \uparrow \uparrow q$.

- (iii) So-generalized, $\uparrow \uparrow$ acquires a key property: given $\phi: p \to s$, every morphism $f: \phi \uparrow \uparrow q \to r$ gives rise to a distributor $\nabla_f: p \triangleleft q \to r \triangleleft s$.
- (iv) We show that the operations on distributors appearing in the equations for a distributive law correspond to compositions of morphisms of the form $\phi \uparrow \uparrow q \to r$ under the above translation between morphisms $\phi \uparrow \uparrow q \to r$ and distributors $p \triangleleft q \to r \triangleleft s$, making use of the structure of $\uparrow \uparrow$ as a colax monoidal action. This allows us to convert the diagrams for a distributive law of u over itself into diagrams involving a (Cartesian) morphism $\mathrm{id}_u \uparrow \uparrow u \to u$, whence we conclude as before in the case

¹⁰ Distributors as above arise in **EM**-ℂat[‡] the Eilenberg-Moore completion [10] of the double category ℂat[‡] = ℂomod(Poly) of polynomial comonads and bicomodules [11]. Indeed, there we find morphisms of the form $m \triangleleft p \rightarrow p \triangleleft n$ for polynomial monads m, n, and distributive laws between monads are the formal monads in **EM**-ℂat[‡]. Distributors also arise in what Lynch et al. call *effects handlers* [12], where morphisms are of the form $s \triangleleft c \rightarrow d \triangleleft s$ for polynomial comonads $c, d \in \mathbb{C}$ at[‡].

of the monad laws for Σ types that, if u is univalent, then these diagrams (and all higher-dimensional diagrams involving them) must commute.

Hence we have the following:

Theorem 4.2 If u is a polynomial universe with Cartesian lenses $\eta: y \leftrightarrows u$, $\mu: u \triangleleft u \leftrightarrows u$, along with $\pi: u \uparrow u \leftrightarrows u$, then letting m=n=u and $\aleph = \nabla_{\pi}$, the diagrams DL1, DL2, DL3, and DL4 commute.

A full proof, formalized in Agda, is given in the appendix. ¹¹ For the remainder of this section, we point out some high-level details of particular note in the proof.

4.1 Distributors & Jump Structures

Not every distributor $\nabla: p \triangleleft q \rightarrow r \triangleleft s$ is of the form ∇_f for some lens $f: \phi \uparrow \uparrow q \rightarrow s$. We define a *jump structure* on a distributor ∇ to be a witness to the fact that $\nabla = \nabla_f$ for some f. Expressed this way, the type of jump structures on a distributor ∇ may be written as

$$\sum_{\phi:p\to s} \sum_{f:\phi \uparrow \uparrow q\to r} \nabla = \nabla_f$$

However, there is another description of jump structures purely in terms of the structure of polynomial functors. Intuitively, a jump structure witnesses that ∇ essentially acts as ϕ on its components in p, s while "jumping over" q, r. To express this directly in terms of polynomials, we need a notion of "independence" of the components of a distributor. For this, we make use of an additional monoidal product on **Poly**, the tensor product \otimes , defined as follows: given polynomials $p = \sum_{a:A} y^{B[a]}, q = \sum_{c:C} y^{D[c]}$, their tensor product $p \otimes q$ is defined as

$$p \otimes q = \sum_{(a,c): A \times C} y^{B[a] \times D[c]}$$

Unlike \triangleleft , the monoidal product \otimes is symmetric on **Poly**. However, \otimes and \triangleleft are compatible with one another, in that they together form the structure of a normal duoidal category [8]. This in particular gives rise to a monoidal natural transformation

$$\mathsf{indep}_{p,q}: p \otimes q \to p \triangleleft q$$

between these two structures. Intuitively, thinking of \otimes as independent and \triangleleft as dependent combination of polynomials, indep exhibits independence as a trivial kind of dependence.

We further note that, although lenses give a canonical notion of morphism between polynomial functors, they are not the only such. If we think of polynomial functors as corresponding to their representative display maps, then another candidate notion of morphism between polynomials is given by commutative squares. I.e. for $p: B \to A$ and $q: D \to C$, such a morphism $p \not\to q$ consists of a commuting square:

$$\begin{array}{ccc}
B & \xrightarrow{f_1} & D \\
\downarrow^p & & \downarrow^q \\
A & \xrightarrow{f^{\flat}} & C
\end{array}$$

Following [9], we refer to natural transformations of polynomial functors as *lenses* and to commutative squares of their representative morphisms as *charts*. Notably, the category of polynomial functors and charts has the following properties:

 $^{^{11}}$ As in the case of Theorem 4.2, we could in principle continue in this same manner to derive the higher coherences of an ∞-distributive law of ∞-monads, but since the *type* of all such data is not currently definable, we cannot express this as a theorem in HoTT at present.

- (i) It is equivalent to the arrow category $\mathbf{Type} \downarrow \mathbf{Type}$ of the category \mathbf{Type} of types and functions.
- (ii) It is the fibrewise opposite of **Poly**, viewed as a category fibred over **Type**.

Straightforwardly, the category of polynomial functors and charts between them inherits \otimes as a monoidal product, which is moreover the Cartesian product for that category. Although \triangleleft does not define a corresponding monoidal product on the category of polynomial functors and charts, it is the case that, for any p, q, there exists a chart $\pi_1 : p \triangleleft q \not\rightarrow p$ given by the first projection of both components of p.

Lenses and charts together form a *double category*, i.e. a category with two distinct kinds of morphisms, along with a notion of *commuting squares* formed by these morphisms (c.f. [9] for a full definition of this double category). When depicting this double category, we draw lenses horizontally, and charts vertically. Then a square

$$\begin{array}{ccc}
p & \xrightarrow{\phi} & q \\
f \downarrow & & \downarrow g \\
p' & \xrightarrow{\phi'} & q'
\end{array}$$

where $\phi = (\phi_1, \phi^{\sharp}), \ \phi' = (\phi'_1, {\phi'}^{\sharp}), \ f = (f_1, f_{\flat}), \ g = (g_1, g_{\flat}),$ is said to commute if the following diagrams commute

$$D[\phi_1] \xrightarrow{\phi^{\sharp}} B$$

$$A \xrightarrow{\phi_1} C \qquad g_{\flat}[\phi_1] \downarrow \qquad \qquad \downarrow f_{\downarrow}$$

$$f_1 \downarrow \qquad \downarrow g_1 \qquad D'[g_1 \circ \phi_1] \qquad \qquad \downarrow f_{\flat}$$

$$A' \xrightarrow{\phi'_1} C' \qquad \simeq \qquad \qquad \downarrow$$

$$D'[\phi'_1 \circ f_1] \longrightarrow D'[\phi'_1] \xrightarrow{\phi'^{\sharp}} B'$$

Using these definitions, we can straightforwardly define a jump structure as follows:

Definition 4.3 A jump structure of a morphism $\phi: p \to s$ on a distributor $\nabla: p \triangleleft q \to r \triangleleft s$ consists of homotopies witnessing that:

(i) ∇ factors through indep, i.e. there exists

$$\nabla': p \triangleleft q \rightarrow r \otimes s$$

such that $\nabla = \mathsf{indep}_{r,s} \circ \nabla'$

(ii) The following square commutes

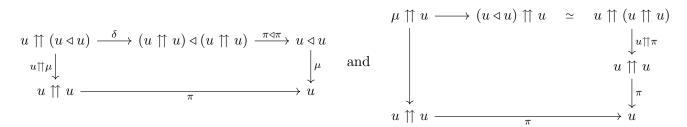
$$\begin{array}{ccc}
p \triangleleft q & \xrightarrow{\nabla'} & r \otimes s \\
\pi_1 \downarrow & & \downarrow \pi_2 \\
p & \xrightarrow{\phi} & s
\end{array}$$

We define a Cartesian jump structure to be a jump structure whose corresponding morphism $\phi \uparrow \uparrow r \to s$ is Cartesian. It then follows, by Theorem 4.2 that, for u a polynomial universe, the (mere) existence of a Cartesian jump structure on a distributive law $\aleph: u \lhd u \to u \lhd u$ is equivalent to u being closed under Π types, in that there exists a Cartesian morphism $u \uparrow \uparrow u \to u$.

4.2 Induced Diagrams

Under the translation between morphisms $\phi \uparrow \uparrow q \to r$ and distributors $p \triangleleft q \to r \triangleleft s$, for a (Cartesian) morphism $\pi : u \uparrow \uparrow u \to u$, the following diagrams correspond to those for a distributive law.

For DL1 and DL2, respectively, the corresponding diagrams are:



(where the unlabeled morphisms arise from the structure of $\uparrow \uparrow$ as an action of the twisted arrow category on **Poly**). For DL3 and DL4, the corresponding diagrams are:

(where, as for DL2, the unlabeled morphisms arise from the structure of $\uparrow\uparrow$ as an action of the twisted arrow category on **Poly**). We note that the morphisms identified by these diagrams terminate in u and are Cartesian, assuming η , μ , π are Cartesian. Hence, if u is univalent, these diagrams must commute.

5 Examples of Polynomial Universes

5.1 Proof-Relevant Partiality Monads

For a univalent universe \mathcal{U} , the corresponding polynomial functor looks like

$$X \mapsto \sum_{A:\mathcal{U}} X^A$$

If we specialize this to the case where $\mathcal{U} = \mathbf{Prop}$, the type of propositions, this gives

$$X \mapsto \sum_{\phi: \mathbf{Prop}} X^{\phi}$$

This monad is well-known in type theory by another name – the partiality monad. Specifically, this is the monad M whose Kleisli morphisms $A \to M(B)$ correspond to partial functions $A \rightharpoonup B$, i.e. functions that associate to each element a:A a proposition $\operatorname{def}_f(a)$ stating whether f is defined at input a, such that if $\operatorname{def}_f(a)$ is true, then one can obtain a value f(a):B.

It follows that one can more generally consider the polynomial monads derived from polynomial universes as *proof-relevant partiality monads*.

5.2 Rezk Completion, Lists & Finite Sets

Additionally, we can show that any polynomial functor p can be quotiented to a corresponding univalent polynomial, using a familiar construct from the theory of categories in HoTT – the Rezk Completion. [6]

We obtain the Rezk completion of p as the image factorization in **Poly**^{Cart} of the classifying Cartesian morphism $p \to u_i$ from p to a univalent polynomial u_i^{12}

$$p \rightarrow \mathsf{Rezk}(p) \rightarrowtail u_i$$

¹² where the existence of such a classifying map follows from the assumption previously mentioned in §4 that the

Then, since Rezk(p) is a subobject of a subterminal object in $Poly^{Cart}$, it follows that it is itself also subterminal in $Poly^{Cart}$.

Example 5.1 The polynomial functor determined by the function $((m, n) \mapsto n) : \{m < n \in \mathbb{N}\} \to \mathbb{N}$ is

$$X \mapsto \sum_{n \in \mathbb{N}} X^{\{m \in \mathbb{N} \mid m < n\}} \cong \mathsf{List}(X)$$

This polynomial isn't univalent, because $\mathbb N$ is a set (i.e. there is at most one path/identity between any two elements of $\mathbb N$), whereas the types $\{m \in \mathbb N \mid m < n\}$ form a groupoid (in general, there are n! automorphisms of the path $\{m \in \mathbb N \mid m < n\}$, corresponding to permutations of finite sets). However, we can upgrade this to a univalent polynomial using the Rezk completion. If we write out an explicit description of Rezk(List), we see that it is the subuniverse of types X that are merely equivalent to some finite type $\{m \in \mathbb N \mid m < n\}$. In constructive mathematics, these types (they are necessarily sets) are known as $Bishop\ finite\ sets$. Hence the Rezk completion of the list monad is precisely the subuniverse of types spanned by (Bishop) finite sets.

Both List and Rezk(List) are Cartesian monads, hence closed under unit and Σ . However, although List does not have a self-distributive law corresponding to Π types, Rezk(List) *does* by Theorem 4.2, since finite sets are closed under finite products. Moreover, although List doesn't *quite* have such a distributive law, it *almost* does, where the pertinent morphism List \triangleleft List \triangleleft List \triangleleft List \triangleleft List \triangleleft Cartesian Product" operation on lists that maps a list-of-lists

$$xss = [[x_{11}, \dots, x_{1i_1}], \dots, [x_{j1}, \dots, x_{ji_j}]]$$

to the list of all j-element lists consisting of one element from each list in xss, ordered lexicographically by their occurrence in xss. This operation fails to satisfy some of the equational laws of a distributive law, namely DL1 [5]. However, passing to the Rezk completion of List essentially forces this operation to satisfy these equations – up to homotopy. It is therefore an interesting question, although beyond the scope of this paper, to consider what structure on a base (non-univalent) polynomial suffices to guarantee that its Rezk completion will be closed under Σ types / Π types.

Additionally, the fact that Rezk(List) possesses such a distributive law over itself has intriguing consequences for higher-categorical algebra. Since identities between elements of Rezk(List) correspond to permutations of finite sets, it follows that an *algebra* for Rezk(List) should be a type equipped with an associative and unital operation for combining any finite number of elements of that type, that is invariant under all pemutations of finite tuples of elements of the type, i.e. (up to coherent homotopy) a commutative monoid. Hence Rezk(List) may be regarded as a higher-categorical analogue of the free commutative monoid monad. Moreover, this monad is both polynomial and Cartesian, unlike the ordinary free commutative monoid monad on the category of sets.

More strikingly still, because $\mathsf{Rezk}(\mathsf{List})$ possesses a distributive law over itself, $\mathsf{Rezk}(\mathsf{List}) \triangleleft \mathsf{Rezk}(\mathsf{List})$ is also a monad, and we conjecture that this monad forms a higher-categorical analogue of the free commutative ring monad. Note that unlike $\mathsf{Rezk}(\mathsf{List})$, this monad is not Cartesian, although it is (by construction) still polynomial, again in contrast to the ordinary free commutative ring monad on the category of sets. We leave a further consideration of this monad and its algebras, hopefully along with a proof of this conjecture, to future work.

ambient type theory has univalent families, which is equivalent to the existence of a terminal family in $\mathbf{Poly}^{\mathbf{Cart}}$, i.e. a family of polynomials $\{u_i\}_{i\in I}$ such that every other polynomial p has a unique Cartesian morphism to some u_i .

References

- [1] Per Martin-Löf. "An Intuitionistic Theory of Types: Predicative Part". In: Logic Colloquium '73. Ed. by H.E. Rose and J.C. Shepherdson. Vol. 80. Studies in Logic and the Foundations of Mathematics. Elsevier, 1975, pp. 73–118 https://doi.org/10.1016/S0049-237X(08)71945-1
- [2] The Univalent Foundations Program. Homotopy type theory: Univalent foundations of mathematics. Institute for Advanced Study (2013). https://homotopytypetheory.org/book.
- [3] Steve Awodey. Natural models of homotopy type theory. Mathematical Structures in Computer Science. 28 2 (2016). pp. 241-286 https://doi.org/10.48550/arXiv.1406.3219
- [4] Steve Awodey and Clive Newstead. "Polynomial pseudomonads and dependent type theory". In: arXiv (2018), eprint. https://doi.org/10.48550/arXiv.1802.00997
- [5] Maaike Zwart and Dan Marsden. "No-go theorems for distributive laws". In: Logical Methods in Computer Science 1 8 (2022)
 https://doi.org/10.48550/arXiv.2003.12531
- [6] Benedikt Ahrens, Krzysztof Kapulkin, and Michael Shulman. "Univalent Categories and the Rezk Completion". In: Extended Abstracts Fall 2013. Ed. by Maria del Mar González, Paul C. Yang, Nicola Gambino, and Joachim Kock. Cham: Springer International Publishing, 2015, pp.75–76.

 Journal article available at: https://doi.org/10.1017/S0960129514000486
- [7] Nicola Gambino and Joachim Kock. "Polynomial functors and polynomial monads". 2012. In: Mathematical Proceedings of the Cambridge Philosophical Society 154. 1 (2013), pp. 153-192 https://doi.org/10.1017/S0305004112000394
- [8] Nelson Niu and David I. Spivak. *Polynomial Functors: A Mathematical Theory of Interaction*. London Mathematical Society Lecture Notes, to appear. Cambridge University Press, 2024

 Available online at: https://toposinstitute.github.io/poly/poly-book.pdf
- [9] David Jaz Myers. Categorical Systems Theory. Cambridge University Press (in preparation), 2023 Preprint avilable at: https://www.davidjaz.com/Papers/DynamicalBook.pdf
- [10] Stephen Lack and Ross Street. "The formal theory of monads II". In: Journal of pure and applied algebra 175. 1-3 (2002), pp. 243-265 https://doi.org/10.1016/S0022-4049(02)00137-8
- [11] Brandon T. Shapiro and David I. Spivak. "A Polynomial Construction of Nerves for Higher Categories". 2024. arXiv: 2405.13157 [math.CT]. https://doi.org/10.48550/arXiv.2405.13157
- [12] Owen Lynch, Brandon T. Shapiro, and David I. Spivak. "All Concepts are Cat". 2023. arXiv: 2305.02571 [math.CT] https://doi.org/10.48550/arXiv.2305.02571
- [13] R. A. G. Seely, "Locally cartesian closed categories and type theory," Mathematical Proceedings of the Cambridge Philosophical Society, vol. 95, no. 1, pp. 33–48, 1984. DOI: https://doi.org/10.1017/S0305004100061284
- [14] M. Shulman, "All (∞,1)-toposes have strict univalent universes," 2019. arXiv: 1904.07004 [math.AT] https://doi.org/10.48550/arXiv.1904.07004
- [15] T. Altenkirch, G. Pinyo, "Monadic containers and universes," 2018. Talk slides available at https://people.cs.nott.ac.uk/psztxa/talks/types-17-cont.pdf

A Homotopy Type Theory in Agda

The following Agda module is used to define key definitions from HoTT that will be used by subsequent modules in formalizing the results of this paper.

```
{-# OPTIONS --without-K --rewriting #-}
module hott where
open import Agda. Primitive
open import Agda.Builtin.Sigma
open import Agda.Builtin.Unit
Type : (\ell : Level) \rightarrow Set (lsuc \ell)
Type \ell = Set \ell
\_	imes_- : orall \ \{\ell \ \kappa\} \ (A : Type \ \ell) \ (B : Type \ \kappa) 
ightarrow Type \ (\ell \ \sqcup \ \kappa)
A \times B = \sum A (\lambda \rightarrow B)
      Basic properties of the identity type, including reflexivity, transitivity, symmetry, and congruence, and
some convenient notation for equality proofs.
open import Agda.Builtin.Equality
open import Agda.Builtin.Equality.Rewrite
_{-}\square : orall \{\ell\} \{\mathtt{A} : Type \ell\} (a : A) 	o a \equiv a
a \square = refl
transp : \forall \{\ell \ \kappa\} \ \{\texttt{A} : \texttt{Type} \ \ell\} \ (\texttt{B} : \texttt{A} \to \texttt{Type} \ \kappa) \ \{\texttt{a} \ \texttt{a}' : \texttt{A}\}
                 \rightarrow (e : a \equiv a') \rightarrow B a \rightarrow B a'
transp B refl b = b
ullet ullet _- : \, orall \,\, \{\ell\} \,\,\, \{	exttt{A} \,:\,\, 	exttt{Type} \,\,\, \ell\} \,\,\, \{	exttt{a} \,\,\, 	exttt{b} \,\,\, c \,\,:\,\, 	exttt{A} \}
           \rightarrow (a \equiv b) \rightarrow (b \equiv c) \rightarrow (a \equiv c)
e \cdot refl = e
\_\equiv \langle \_ \rangle_- : \ orall \ \{\ell\} \ \{	extsf{A} : 	extsf{Type} \ \ell\} \ (	extsf{a} : 	extsf{A}) \ \{	extsf{b} \ 	extsf{c} : 	extsf{A}\}
                   \rightarrow a \equiv b \rightarrow b \equiv c \rightarrow a \equiv c
a \equiv \langle e \rangle refl = e
\texttt{comprewrite} \;:\; \forall \; \{\ell\} \; \{\texttt{A} \;:\; \texttt{Type} \; \ell\} \; \{\texttt{a} \; \texttt{b} \; \texttt{c} \;:\; \texttt{A}\}
                            \rightarrow (e1 : a \equiv b) (e2 : b \equiv c)
                            \rightarrow (a \equiv \langle e1 \rangle e2) \equiv (e1 \bullet e2)
comprewrite refl refl = refl
{-# REWRITE comprewrite #-}
\operatorname{sym}: \forall \ \{\ell\} \ \{\mathtt{A}: \ \mathsf{Type}\ \ell\} \ \{\mathtt{a}\ \mathtt{a'}: \mathtt{A}\} \ 	o \ \mathtt{a} \equiv \mathtt{a'} \ 	o \ \mathtt{a'} \equiv \mathtt{a}
sym refl = refl
\mathtt{ap} \;:\; \forall \; \{\ell \; \kappa\} \; \{\mathtt{A} \;:\; \mathtt{Type} \; \ell\} \; \{\mathtt{B} \;:\; \mathtt{Type} \; \kappa\} \; \{\mathtt{a} \; \mathtt{a'} \;:\; \mathtt{A}\}
          \rightarrow (f : A \rightarrow B) \rightarrow a \equiv a' \rightarrow (f a) \equiv (f a')
ap f refl = refl
coAp : \forall \{\ell \ \kappa\} \ \{A : Type \ \ell\} \ \{B : A \rightarrow Type \ \kappa\} \ \{f \ g : (x : A) \rightarrow B \ x\}
              \rightarrow f \equiv g \rightarrow (x : A) \rightarrow f x \equiv g x
coAp refl x = refl
apd : \forall \{\ell 0 \ \ell 1 \ \kappa\} \{A : Type \ \ell 0\} \{B : Type \ \ell 1\} \{f : A \rightarrow B\}
            \rightarrow (C : B \rightarrow Type \kappa) {a a' : A}
            \rightarrow (g : (x : A) \rightarrow C (f x)) \rightarrow (e : a \equiv a') \rightarrow transp C (ap f e) (g a) \equiv g a'
apd B f refl = refl
     Equality for pairs:
```

```
module PairEq \{\ell \ \kappa\} \{A : Type \ \ell\} \{B : A \rightarrow Type \ \kappa\}
                       \{a \ a' : A\} \{b : B \ a\} \{b' : B \ a'\} \text{ where }
      pairEq : (e : a \equiv a') (e' : transp B e b \equiv b') \rightarrow (a , b) \equiv (a' , b')
      pairEq refl refl = refl
      pairEq\beta1 : (e : a \equiv a') (e' : transp B e b \equiv b') \rightarrow ap fst (pairEq e e') \equiv e
      pairEq\beta1 refl refl = refl
      pairEq\eta: (e: (a, b) \equiv (a', b')) \rightarrow pairEq (ap fst e) (apd B snd e) \equiv e
      pairEq\eta refl = refl
open PairEq public
     The bi-invertible maps definition of equivalence, and closure of equivalences under identity and com-
position:
is Equiv : \forall \{\ell \ \kappa\} \ \{A : Type \ \ell\} \ \{B : Type \ \kappa\} \rightarrow (A \rightarrow B) \rightarrow Type \ (\ell \sqcup \kappa)
isEquiv \{A = A\} \{B = B\} f =
         (\Sigma (B \rightarrow A) (\lambda g \rightarrow (a : A) \rightarrow g (f a) \equiv a))
      \times (\Sigma (B \rightarrow A) (\lambda h \rightarrow (b : B) \rightarrow f (h b) \equiv b))
idIsEquiv : \forall \{\ell\} \{A : Type \ell\} \rightarrow isEquiv \{A = A\} (\lambda x \rightarrow x)
idIsEquiv = ((\lambda x \rightarrow x), (\lambda x \rightarrow ref1)), ((\lambda x \rightarrow x), (\lambda x \rightarrow ref1))
compIsEquiv : \forall {\ell0 \ell1 \ell2} {A : Type \ell0} {B : Type \ell1} {C : Type \ell2}
                       \rightarrow {g : B \rightarrow C} {f : A \rightarrow B} \rightarrow isEquiv g \rightarrow isEquiv f
                      \rightarrow isEquiv (\lambda a \rightarrow g (f a))
compIsEquiv \{g = g\} \{f = f\}
                   ((g', lg), (g'', rg))
((f', lf), (f'', rf)) =
          ((\lambda c \rightarrow f' (g' c)), \lambda a \rightarrow (f' (g' (g (f a))))
                                                       \equiv \langle ap f' (lg (f a)) \rangle
                       (f' (f a)
                                                          \equiv \langle \text{ lf a } \rangle
                                                          □)))
       , ((\lambda c \rightarrow f'' (g'' c))
          , \lambda c \rightarrow (g (f'(f''(g''c)))) \equiv \langle ap g (rf(g''c)) \rangle
                       (g (g'' c)
                                                          ≡⟨ rg c ⟩
                                                          □)))
    Isomorphisms and equi-inhabitation of isomorphism and equivalence:
Iso : \forall \{\ell \ \kappa\} \ \{A : Type \ \ell\} \ \{B : Type \ \kappa\} \rightarrow (A \rightarrow B) \rightarrow Type \ (\ell \sqcup \kappa)
Iso \{A = A\} \{B = B\} f =
       (\Sigma (B \rightarrow A) (\lambda g \rightarrow ((a : A) \rightarrow g (f a) \equiv a))
                                \times ((b : B) \rightarrow f (g b) \equiv b)))
module Iso\leftrightarrowEquiv \{\ell \ \kappa\} \{A : Type \ \ell\} \{B : Type \ \kappa\} \{f : A \rightarrow B\} where
      Iso→isEquiv : Iso f → isEquiv f
      Iso\rightarrowisEquiv (g , l , r) = ((g , l) , (g , r))
      isEquiv→Iso : isEquiv f → Iso f
      isEquiv \rightarrow Iso ((g , 1) , (h , r)) =
            h, (\lambda x \rightarrow (h (f x))
                                                     \equiv \langle \text{ sym (l (h (f x)))} \rangle
                               (g (f (h (f x))) \equiv \langle ap g (r (f x)) \rangle
```

((g(fx))

 $\equiv \langle 1 x \rangle$

```
(x \square))), r
```

```
open Iso \leftrightarrow Equiv public
```

```
Proof that the inverse of an equivalence is an equivalence:
```

```
module InvEquiv \{\ell \ \kappa\} \{A : Type \ \ell\} \{B : Type \ \kappa\} \{f : A \rightarrow B\} where inv : isEquiv f \rightarrow B \rightarrow A inv (\_, (h , \_)) = h isoInv : (isof : Iso f) \rightarrow Iso (fst isof) isoInv (g , l , r) = (f , r , l)
```

invIsEquiv : (ef : isEquiv f) → isEquiv (inv ef)
invIsEquiv ef = Iso→isEquiv (isoInv (isEquiv→Iso ef))

open InvEquiv public

Proof that transport along a path is an equivalence:

```
module TranspEquiv \{\ell \ \kappa\} \{A : Type \ \ell\} \{B : A \rightarrow Type \ \kappa\} \{a \ b : A\} (e : a \equiv b) where syml : (x : B a) \rightarrow transp B (sym e) (transp B e x) \equiv x syml x rewrite e = refl symr : (y : B b) \rightarrow transp B e (transp B (sym e) y) \equiv y symr y rewrite e = refl transpIsEquiv : isEquiv \{A = B \ a\} \{B = B \ b\} (\lambda x \rightarrow transp B e x) transpIsEquiv = Iso\rightarrowisEquiv ((\lambda x \rightarrow transp B (sym e) x) , (syml , symr))
```

open TranspEquiv public

Some additional facts about the identity type that will be used throughout this formalization, are as follows:

```
 \begin{array}{l} \operatorname{transpAp} : \forall \ \{\ell \ \ell' \ \kappa\} \ \{A : \ \operatorname{Type} \ \ell\} \ \{A' : \ \operatorname{Type} \ \ell'\} \ \{a \ b : \ A\} \\ \qquad \rightarrow \ (B : A' \rightarrow \operatorname{Type} \ \kappa) \ (f : A \rightarrow A') \ (e : a \equiv b) \ (x : B \ (f \ a)) \\ \qquad \rightarrow \ \operatorname{transp} \ (\lambda \ x \rightarrow B \ (f \ x)) \ e \ x \equiv \ \operatorname{transp} \ B \ (ap \ f \ e) \ x \\ \\ \operatorname{transpAp} \ B \ f \ \operatorname{refl} \ x = \ \operatorname{refl} \\ \\ \bullet \operatorname{invr} \ : \ \forall \ \{\ell\} \ \{A : \ \operatorname{Type} \ \ell\} \ \{a \ b : A\} \\ \qquad \rightarrow \ (e : a \equiv b) \rightarrow \ \operatorname{refl} \ e \ (b \equiv \langle \ \operatorname{sym} \ e \ \rangle \ e) \\ \equiv \operatorname{siml} \ : \ \forall \ \{\ell\} \ \{A : \ \operatorname{Type} \ \ell\} \ \{a \ b : A\} \\ \qquad \rightarrow \ (e : a \equiv b) \rightarrow \ \operatorname{refl} \ e \ (a \equiv \langle \ \operatorname{refl} \ \rangle \ e) \\ \equiv \operatorname{idr} \ : \ \forall \ \{\ell\} \ \{A : \ \operatorname{Type} \ \ell\} \ \{a \ b : A\} \\ \qquad \rightarrow \ (e : a \equiv b) \rightarrow \ e \equiv \ (a \equiv \langle \ \operatorname{refl} \ \rangle \ e) \\ \equiv \operatorname{idr} \ \operatorname{refl} \ = \ \operatorname{refl} \\ \\ \operatorname{conj} \ : \ \forall \ \{\ell\} \ \{A : \ \operatorname{Type} \ \ell\} \ \{a \ b \ c \ d : A\} \\ \qquad \rightarrow \ (e1 : a \equiv b) \ (e2 : a \equiv c) \ (e3 : b \equiv d) \ (e4 : c \equiv d) \\ \end{array}
```

```
\rightarrow (a \equiv\langle e1 \rangle e3) \equiv (a \equiv\langle e2 \rangle e4)
               \rightarrow e3 \equiv (b \equiv \langle sym e1 \rangle (a \equiv \langle e2 \rangle e4))
conj e1 e2 refl refl = ≡siml e1
\mathtt{nat} \ : \ \forall \ \{\ell \ \kappa\} \ \{\mathtt{A} \ : \ \mathtt{Type} \ \ell\} \ \{\mathtt{B} \ : \ \mathtt{Type} \ \kappa\} \ \{\mathtt{f} \ \mathtt{g} \ : \ \mathtt{A} \ \rightarrow \ \mathtt{B}\} \ \{\mathtt{a} \ \mathtt{b} \ : \ \mathtt{A}\}
             \rightarrow (\alpha : (x : A) \rightarrow f x \equiv g x) (e : a \equiv b)
             \rightarrow ((f a) \equiv \langle \alpha \ a \rangle (ap g e)) \equiv ((f a) \equiv \langle ap \ f \ e \rangle (\alpha \ b))
nat \{a = a\} \alpha refl = \equiv idr (\alpha \ a)
cancel : \forall \{\ell\} \{A : Type \ \ell\} \{a \ b \ c : A\}
                   \rightarrow (e1 e2 : a \equiv b) (e3 : b \equiv c)
                   \rightarrow (a \equiv\langle e1 \rangle e3) \equiv (a \equiv\langle e2 \rangle e3)
                   → e1 ≡ e2
cancel e1 e2 refl refl = refl
apId : \forall \{\ell\} \{A : Type \ell\} \{a b : A\}
               \rightarrow (e : a \equiv b) \rightarrow ap (\lambda x \rightarrow x) e \equiv e
apId refl = refl
\texttt{apComp} \;:\; \forall \; \{\ell \; \ell \text{''} \; \ell \text{''}\} \; \; \{\texttt{A} \;:\; \texttt{Type} \; \ell \} \; \; \{\texttt{C} \;:\; \texttt{Type} \; \ell \text{''}\} \; \; \{\texttt{a} \; \texttt{b} \;:\; \texttt{A}\}
                   \rightarrow (f : A \rightarrow B) (g : B \rightarrow C) (e : a \equiv b)
                   \rightarrow ap (\lambda x \rightarrow g (f x)) e \equiv ap g (ap f e)
apComp f g refl = refl
\mathtt{apHtpy} \;:\; \forall \; \{\ell\} \ \{\mathtt{A} \;:\; \mathtt{Type} \ \ell\} \ \{\mathtt{a} \;:\; \mathtt{A}\}
                   \rightarrow (i : A \rightarrow A) (\alpha : (x : A) \rightarrow i x \equiv x)
                   \rightarrow ap i (\alpha a) \equiv \alpha (i a)
apHtpy \{a = a\} i \alpha =
        cancel (ap i (\alpha a)) (\alpha (i a)) (\alpha a)
                        ((i (i a) \equiv \( ap i (\alpha a) \) \( \alpha \) a)
                       \equiv \langle \text{ sym (nat } \alpha \ (\alpha \ \text{a})) \rangle
                       ((i (i a) \equiv \langle \alpha \text{ (i a) } \rangle \text{ ap } (\lambda \text{ z} \rightarrow \text{z}) (\alpha \text{ a}))
                       \equiv \langle ap (\lambda e \rightarrow i (i a) \equiv \langle \alpha (i a) \rangle e) (apId (\alpha a)) \rangle
                       ((i (i a) \equiv \langle \alpha (i a) \rangle \alpha a) \square)))
      Half-adjoint equivalences and converting an isomorphism to a half-adjoint equivalence:
\mathsf{HAdj} : \forall \{\ell \ \kappa\} \ \{\mathsf{A} : \mathsf{Type} \ \ell\} \ \{\mathsf{B} : \mathsf{Type} \ \kappa\}
               \rightarrow (A \rightarrow B) \rightarrow Set (\ell \sqcup \kappa)
HAdj \{A = A\} \{B = B\} f =
         \Sigma (B \rightarrow A) (\lambda g \rightarrow
             \Sigma ((x : A) \rightarrow g (f x) \equiv x) (\lambda \eta \rightarrow
                \Sigma ((y : B) \rightarrow f (g y) \equiv y) (\lambda \epsilon \rightarrow
                     (x : A) \rightarrow ap f (\eta x) \equiv \epsilon (f x)))
Iso\rightarrowHAdj : \forall \{\ell \ \kappa\} \{A : Type \ \ell\} \{B : Type \ \kappa\} \{f : A \rightarrow B\}
                       → Iso f → HAdj f
Iso\rightarrowHAdj \{f = f\} (g , \eta , \epsilon) =
        g , (\eta , (\lambda y \rightarrow
                                                      \equiv \langle \text{ sym } (\epsilon \text{ (f (g y)))} \rangle
                       f (g y)
                      (f (g (f (g y))) \equiv \langle ap f (\eta (g y)) \rangle
                     (f (g y)
                                                         \equiv \langle \epsilon y \rangle
                                                         □))))
                      (y
```

, $\lambda x \rightarrow \text{conj} (\epsilon (f (g (f x)))) (ap f (\eta (g (f x))))$

```
(ap f (\eta x)) (\epsilon (f x))
                                  (((f (g (f (g (f x)))) \equiv \langle \epsilon (f (g (f x))) \rangle ap f (\eta x)))
                                   \equiv \langle \text{ nat } (\lambda z \rightarrow \epsilon (f z)) (\eta x) \rangle
                                   (((f (g (f (g (f x)))) \equiv \langle ap (\lambda z \rightarrow f (g (f z))) (\eta x) \rangle \epsilon (f x)))
                                   \equiv \langle ap (\lambda e \rightarrow (f (g (f x)))) \equiv \langle e \rangle \epsilon (f x)))
                                              ((ap (\lambda z \rightarrow f (g (f z))) (\eta x))
                                               \equiv \langle apComp (\lambda z \rightarrow g (f z)) f (\eta x) \rangle
                                               ((ap f (ap (\lambda z \rightarrow g (f z)) (\eta x)))
                                               \equiv \langle ap (ap f) (apHtpy (\lambda z \rightarrow g (f z)) \eta) \rangle
                                                (ap f (\eta (g (f x))) \square))) \rangle
                                   (((f (g (f (g (f x)))) \equiv \langle ap f (\eta (g (f x))) \rangle \epsilon (f x))) \square)))))
     Equivalences of dependent pair types:
\texttt{pairEquiv1} \;:\; \forall \; \{\ell \;\; \ell \;' \;\; \kappa\} \;\; \{\texttt{A} \;:\; \texttt{Type} \;\; \ell\} \;\; \{\texttt{A} \;:\; \texttt{Type} \;\; \ell\,'\} \;\; \{\texttt{B} \;:\; \texttt{A} \;' \;\; \rightarrow \; \texttt{Type} \;\; \kappa\}
                       \rightarrow (f : A \rightarrow A') \rightarrow isEquiv f
                       \rightarrow isEquiv \{A = \Sigma A (\lambda x \rightarrow B (f x))\} \{B = \Sigma A' B\}
                                        (\lambda (x, y) \rightarrow (f x, y))
pairEquiv1 \{A = A\} \{A' = A'\} \{B = B\} f ef =
    Iso→isEquiv
       ( (\lambda (x , y) \rightarrow (g x , transp B (sym (\epsilon x)) y))
        , ( (\lambda (x, y) \rightarrow pairEq (\eta x) (lemma x y))
          , \lambda (x , y) \rightarrow pairEq (\epsilon x) (symr (\epsilon x) y) )
   where
       g : A' \rightarrow A
       g = fst (Iso→HAdj (isEquiv→Iso ef))
       \eta : (x : A) \rightarrow g (f x) \equiv x
       \eta = fst (snd (Iso\rightarrowHAdj (isEquiv\rightarrowIso ef)))
       \epsilon : (y : A') \rightarrow f (g y) \equiv y
       \epsilon = fst (snd (snd (Iso\rightarrowHAdj (isEquiv\rightarrowIso ef))))
       \rho: (x : A) \rightarrow ap f (\eta x) \equiv \epsilon (f x)
       \rho = \text{snd} (\text{snd} (\text{Iso}\rightarrow \text{HAdj} (\text{isEquiv}\rightarrow \text{Iso ef}))))
       lemma : (x : A) (y : B (f x))
                     \rightarrow transp (\lambda z \rightarrow B (f z)) (\eta x)
                                     (transp B (sym (\epsilon (f x))) y)
                         \equiv y
       lemma x y = (transp (\lambda z \rightarrow B (f z)) (\eta x)
                                          (transp B (sym (\epsilon (f x))) y))
                            \equiv \langle \text{ transpAp B f } (\eta \text{ x}) \rangle
                                                  (transp B (sym (\epsilon (f x))) y) \rangle
                            ( transp B (ap f (\eta x))
                                               (transp B (sym (\epsilon (f x))) y)
                            \equiv \langle ap (\lambda e \rightarrow transp B e
                                                        (transp B (sym (\epsilon (f x))) y))
                                       (\rho x)
                            ( (transp B (\epsilon (f x))
                                              (transp B (sym (\epsilon (f x))) y))
                            \equiv \langle \text{ (symr } (\epsilon \text{ (f x)) y) } \rangle
                            (y □)))
pairEquiv2 : \forall \{\ell \ \kappa \ \kappa'\} \{A : Type \ \ell\} \{B : A \rightarrow Type \ \kappa\} \{B' : A \rightarrow Type \ \kappa'\}
                       \rightarrow (g : (x : A) \rightarrow B x \rightarrow B' x) \rightarrow ((x : A) \rightarrow isEquiv (g x))
                       \rightarrow isEquiv {A = \Sigma A B} {B = \Sigma A B'}
```

```
(\lambda (x, y) \rightarrow (x, g x y))
pairEquiv2 g eg =
       let isog = (\lambda x \rightarrow isEquiv \rightarrow Iso (eg x))
       in Iso\rightarrowisEquiv ( (\lambda (x , y) \rightarrow (x , fst (isog x) y))
                                  , ( (\lambda (x, y) \rightarrow
                                             pairEq refl (fst (snd (isog x)) y))
                                     , \lambda (x , y) \rightarrow
                                            pairEq refl (snd (snd (isog x)) y)))
\mathtt{pairEquiv} \ : \ \forall \ \{\ell \ \ell' \ \kappa \ \kappa'\} \ \{\mathtt{A} \ : \ \mathtt{Type} \ \ell\} \ \{\mathtt{A'} \ : \ \mathtt{Type} \ \ell'\}
                     \rightarrow {B : A \rightarrow Type \kappa} {B' : A' \rightarrow Type \kappa'}
                     \rightarrow (f : A \rightarrow A') (g : (x : A) \rightarrow B x \rightarrow B' (f x))
                     \rightarrow isEquiv f \rightarrow ((\bar{x} : A) \rightarrow isEquiv (g x))
                     \rightarrow isEquiv {A = \Sigma A B} {B = \Sigma A' B'}
                                      (\lambda (x, y) \rightarrow (f x, g x y))
pairEquiv f g ef eg =
       compIsEquiv (pairEquiv1 f ef)
                            (pairEquiv2 g eg)
     The J rule, i.e. the induction principle for the identity type:
	extsf{J} : orall \{\ell \kappa\} \{	extsf{A} : Type \ell\} \{	extsf{a} : 	extsf{A}\} (	extsf{B} : (	extsf{x} : 	extsf{A}) 
ightarrow 	extsf{a} \equiv 	extsf{x} 
ightarrow Type \kappa)
       \rightarrow {a' : A} (e : a \equiv a') \rightarrow B a refl \rightarrow B a' e
J B refl b = b
     Function extensionality and derived results:
postulate
       funext : \forall \{\ell \ \kappa\} \{A : Type \ \ell\} \{B : A \rightarrow Type \ \kappa\} \{f \ g : (x : A) \rightarrow B \ x\}
                       \rightarrow ((x : A) \rightarrow f x \equiv g x) \rightarrow f \equiv g
       funextr : \forall \{\ell \ \kappa\} \ \{A : Type \ \ell\} \ \{B : A \rightarrow Type \ \kappa\} \ \{f \ g : (x : A) \rightarrow B \ x\}
                        \rightarrow (e : (x : A) \rightarrow f x \equiv g x) \rightarrow coAp (funext e) \equiv e
       funextl : \forall \{\ell \ \kappa\} \ \{A : Type \ \ell\} \ \{B : A \rightarrow Type \ \kappa\} \ \{f \ g : (x : A) \rightarrow B \ x\}
                        \rightarrow (e : f \equiv g) \rightarrow funext (coAp e) \equiv e
transpD : \forall \{\ell \ \kappa\} \ \{\texttt{A} : \texttt{Type} \ \ell\} \ \{\texttt{B} : \texttt{A} \rightarrow \texttt{Type} \ \kappa\} \ \{\texttt{a} \ \texttt{a}' : \texttt{A}\}
                 \rightarrow (f : (x : A) \rightarrow B x) (e : a \equiv a')
                 \rightarrow transp B e (f a) \equiv f a'
transpD f refl = refl
transpHAdj : \forall \{\ell \ \ell' \ \kappa\} \ \{A : Type \ \ell\} \ \{B : Type \ \ell'\}
                     \rightarrow {C : B \rightarrow Type \kappa} {a : A}
                     \rightarrow \{g : A \rightarrow B\} \{h : B \rightarrow A\}
                     \rightarrow (f : (x : A) \rightarrow C (g x))
                     \rightarrow (e : (y : B) \rightarrow g (h y) \equiv y)
                     \rightarrow (e' : (x : A) \rightarrow h (g x) \equiv x)
                     \rightarrow (e'' : (x : A) \rightarrow e (g x) \equiv ap g (e' x))
                     \rightarrow transp C (e (g a)) (f (h (g a))) \equiv f a
transpHAdj \{C = C\} \{a = a\} \{g = g\} \{h = h\} f e e' e'' =
       transp C (e (g a)) (f (h (g a)))
              \equiv \langle ap (\lambda ee \rightarrow transp C ee (f (h (g a)))) (e'' a) \rangle
       (transp C (ap g (e' a)) (f (h (g a)))
              \equiv \langle \text{ sym (transpAp C g (e' a) (f (h (g a))))} \rangle
       ((transp (\lambda x \rightarrow C (g x)) (e' a) (f (h (g a))))
              \equiv \langle \text{ transpD f (e' a)} \rangle
```

```
((f a) □)))
PreCompEquiv : \forall \{\ell \ \ell' \ \kappa\} \ \{A : Type \ \ell\} \ \{B : Type \ \ell'\} \ \{C : B \rightarrow Type \ \kappa\}
                           \rightarrow (f : A \rightarrow B) \rightarrow isEquiv f
                          \rightarrow isEquiv {A = (b : B) \rightarrow C b}
                                             \{B = (a : A) \rightarrow C (f a)\}
                                             (\lambda g \rightarrow \lambda a \rightarrow g (f a))
\label{eq:compEquiv} \mbox{PreCompEquiv } \{\mbox{C = C}\} \mbox{ f ef =}
       let (f^{-1}, 1, r, e) = Iso \rightarrow HAdj (is Equiv \rightarrow Iso ef)
       in Iso\rightarrowisEquiv ( (\lambda g b \rightarrow transp C (r b) (g (f<sup>-1</sup> b)))
                                  , ( (\lambda g \rightarrow funext (\lambda b \rightarrow transpD g (r b)))
                                      , \lambda g \rightarrow funext (\lambda a \rightarrow transpHAdj g r l (\lambda x \rightarrow sym (e x)))))
PostCompEquiv : \forall \{\ell \ \kappa \ \kappa'\} \{A : Type \ \ell\} \{B : A \rightarrow Type \ \kappa\} \{C : A \rightarrow Type \ \kappa'\}
                            \rightarrow (f : (x : A) \rightarrow B x \rightarrow C x) \rightarrow ((x : A) \rightarrow isEquiv (f x))
                            \rightarrow isEquiv {A = (x : A) \rightarrow B x}
                                              \{B = (x : A) \rightarrow C x\}
                                              (\lambda g x \rightarrow f x (g x))
PostCompEquiv f ef =
       (((\lambda g x \rightarrow fst (fst (ef x)) (g x)))
           , \lambda g \rightarrow funext (\lambda x \rightarrow snd (fst (ef x)) (g x))))
        , ( (\lambda g x \rightarrow fst (snd (ef x)) (g x))
           , \lambda g \rightarrow funext (\lambda x \rightarrow snd (snd (ef x)) (g x)))
```

B Polynomial Functors in Agda

This module gives basic definitions involving polynomial functors, lenses, Cartesian lenses, and univalence.

```
{-# OPTIONS --without-K --rewriting --lossy-unification #-}
module poly where
open import Agda. Primitive
open import Agda.Builtin.Sigma
open import Agda.Builtin.Unit
open import Agda.Builtin.Equality
open import Agda.Builtin.Equality.Rewrite
open import hott
    Definition of polynomial functors:
Poly : (\ell \ \kappa : \text{Level}) \rightarrow \text{Type } ((\text{lsuc } \ell) \ \sqcup \ (\text{lsuc } \kappa))
Poly \ell \kappa = \Sigma (Type \ell) (\lambda A \rightarrow A \rightarrow Type \kappa)
    Lenses:
\stackrel{\leftarrow}{=}: \forall {\ell0 \ell1 \kappa0 \kappa1} \rightarrow Poly \ell0 \kappa0 \rightarrow Poly \ell1 \kappa1 \rightarrow Type (\ell0 \sqcup \ell1 \sqcup \kappa0 \sqcup \kappa1)
(A , B) \stackrel{\leftarrow}{=} (C , D) = \Sigma (A \rightarrow C) (\lambda f \rightarrow (a : A) \rightarrow D (f a) \rightarrow B a)
    Type of equality proofs for lenses:
EqLens : \forall \{\ell 0 \ \ell 1 \ \kappa 0 \ \kappa 1\}
              \rightarrow {p : Poly \ell0 \kappa0} (q : Poly \ell1 \kappa1)
              → (f g : p \leftrightarrows q) → Type (\ell0 \sqcup \ell1 \sqcup \kappa0 \sqcup \kappa1)
EqLens \{p = (A, B)\}\ (C, D)\ (f, f\sharp)\ (g, g\sharp) =
   \Sigma ((a : A) \rightarrow f a \equiv g a)
      (\lambda e \rightarrow (a : A) (d : D (f a))
```

```
\rightarrow f# a d \equiv g# a (transp D (e a) d))
     Identity and composition of lenses:
id : \forall \{\ell \ \kappa\} \ (p : Poly \ \ell \ \kappa) \rightarrow p \leftrightarrows p
id p = ((\lambda a \rightarrow a), \lambda a b \rightarrow b)
comp : \forall \{\ell 0 \ \ell 1 \ \ell 2 \ \kappa 0 \ \kappa 1 \ \kappa 2\}
            \rightarrow {p : Poly \ell0 \kappa0} {q : Poly \ell1 \kappa1} (r : Poly \ell2 \kappa2)
             \rightarrow \ p \ \leftrightarrows \ q \ \rightarrow \ q \ \leftrightarrows \ r \ \rightarrow \ p \ \leftrightarrows \ r 
comp r (f , f\sharp) (g , g\sharp) =
         ( (\lambda a \rightarrow g (f a)), \lambda a z \rightarrow f \sharp a (g \sharp (f a) z))
     Cartesian lenses:
module Cart \{\ell 0 \ \ell 1 \ \kappa 0 \ \kappa 1\} \ \{p : Poly \ \ell 0 \ \kappa 0\}
                     (q : Poly \ \ell 1 \ \kappa 1) \ (f : p \leftrightarrows q) \ where
       isCartesian : Set (\ell 0 \sqcup \kappa 0 \sqcup \kappa 1)
       isCartesian = (a : fst p) → isEquiv (snd f a)
open Cart public
     Identity and composition of Cartesian lenses:
idCart : \forall \{\ell \ \kappa\} \ (p : Poly \ \ell \ \kappa)
               → isCartesian p (id p)
idCart p a = idIsEquiv
compCartesian : \forall \{\ell 0 \ \ell 1 \ \ell 2 \ \kappa 0 \ \kappa 1 \ \kappa 2\}
                            \rightarrow {p : Poly \ell0 \kappa0} {q : Poly \ell1 \kappa1} (r : Poly \ell2 \kappa2)
                            \rightarrow \{f : p \leftrightarrows q\} \{g : q \leftrightarrows r\}
                            → isCartesian q f → isCartesian r g
                           → isCartesian r (comp r f g)
compCartesian r \{f = (f , f\sharp)\}\ \{g = (g , g\sharp)\}\ cf\ cg\ a =
       compIsEquiv (cf a) (cg (f a))
     Univalent polynomials:
isUnivalent : \forall \{\ell \ \kappa\} \rightarrow Poly \ \ell \ \kappa \rightarrow Set\omega
isUnivalent u =
       \forall \{\ell' \kappa'\} \{p : Poly \ell' \kappa'\}
          \rightarrow \{f g : p \leftrightarrows u\}
          \rightarrow isCartesian u f
          → isCartesian u g
          → EqLens u f g
```

C Composition of Polynomials and Monads in Agda

```
{-# OPTIONS --without-K --rewriting #-} module sum where

open import Agda.Primitive open import Agda.Builtin.Sigma open import Agda.Builtin.Unit open import Agda.Builtin.Equality open import Agda.Builtin.Equality.Rewrite
```

open import hott

```
open import poly
      Composition and identity of polynomial endofunctors, and functoriality of composition:
y : Poly lzero lzero
y = (\top, \lambda_{-} \rightarrow \top)
\_ \triangleleft \_: \forall \{\ell 0 \ \ell 1 \ \kappa 0 \ \kappa 1\} \rightarrow Poly \ \ell 0 \ \kappa 0 \rightarrow Poly \ \ell 1 \ \kappa 1 \rightarrow Poly \ (\ell 0 \ \sqcup \ \kappa 0 \ \sqcup \ \ell 1) \ (\kappa 0 \ \sqcup \ \kappa 1)
 (A , B) \triangleleft (C , D) = (\sum A (\lambda a \rightarrow B a \rightarrow C) , \lambda (a , f) \rightarrow \sum (B a) (\lambda b \rightarrow D (f b)))
\neg \triangleleft \neg \square : \forall \{\ell 0 \ \ell 1 \ \ell 2 \ \ell 3 \ \kappa 0 \ \kappa 1 \ \kappa 2 \ \kappa 3\}
                  \rightarrow {p : Poly \ell0 \kappa0} {q : Poly \ell2 \kappa2} \rightarrow p \leftrightarrows q
                 \rightarrow {r : Poly \ell1 \kappa1} (s : Poly \ell3 \kappa3) \rightarrow r \leftrightarrows s
                 \rightarrow (p \triangleleft r) \leftrightarrows (q \triangleleft s)
(f , f\sharp) \triangleleft \triangleleft[ s ] (g , g\sharp) =
         ((\lambda \ (\texttt{a}\ ,\ \gamma)\ \rightarrow\ (\texttt{f}\ \texttt{a}\ ,\ \lambda\ \texttt{b'}\ \rightarrow\ \texttt{g}\ (\gamma\ (\texttt{f}\sharp\ \texttt{a}\ \texttt{b'}))))
          , \lambda (a , \gamma) (b' , d') \rightarrow ((f# a b') , g# (\gamma (f# a b')) d'))
      Associativity of \triangleleft:
module \triangleleft Assoc \{ \ell 0 \ \ell 1 \ \ell 2 \ \kappa 0 \ \kappa 1 \ \kappa 2 \} \ (p : Poly \ \ell 0 \ \kappa 0)
                               (q : Poly \ell1 \kappa1) (r : Poly \ell2 \kappa2) where
         \triangleleft assoc : ((p \triangleleft q) \triangleleft r) \leftrightarrows (p \triangleleft (q \triangleleft r))
         {\operatorname{\lhd}} {\operatorname{assoc}} \ = \ (\ \bar{\ } (\lambda \ (({\tt a}\ ,\ \gamma)\ ,\ \delta)
                                       \rightarrow (a , (\lambda b \rightarrow (\gamma b , \lambda d \rightarrow \delta (b , d)))))
                             , (\lambda_{-}(b,(d,x)) \rightarrow ((b,d),x))
         \triangleleft assoc^{-1} : (p \triangleleft (q \triangleleft r)) \leftrightarrows ((p \triangleleft q) \triangleleft r)
        \triangleleft \operatorname{assoc}^{-1} = ((\lambda (a, \gamma) \rightarrow ((a, (\bar{\lambda} x \rightarrow \operatorname{fst} (\gamma x)))))
                                                                , (\lambda (x , y) \rightarrow snd (\gamma x) y))
                                  , \lambda_{-} ((x , y) , z) \rightarrow (x , (y , z)) )
open ⊲Assoc public
      Left and right unit laws for ⊲:
module \triangleleft LRUnit \{\ell \ \kappa\} \ (p : Poly \ \ell \ \kappa) where
         \forall unitl : (y \triangleleft p) \leftrightarrows p
         \forall unitl = ((\lambda (_{-}, a) \rightarrow a tt), \lambda (_{-}, a) x \rightarrow (tt, x))
         \forall unitl^{-1} : p \leftrightarrows (y \triangleleft p)
         \forall unitl^{-1} = ((\lambda a \rightarrow (tt , \lambda \rightarrow a)), (\lambda a (, b) \rightarrow b))
         \triangleleftunitr : (p \triangleleft y) \subseteq p
         \forall unitr = ((\lambda (a, \gamma) \rightarrow a), (\lambda (a, \gamma) b \rightarrow (b, tt)))
         \forall unitr^{-1} : p \leftrightarrows (p \triangleleft y)
         \forall unitr^{-1} = ((\lambda a \rightarrow a, (\lambda \rightarrow tt)), (\lambda a (b, \rightarrow b))
open ⊲LRUnit public
      Restriction of \triangleleft to a monoidal product on Poly<sup>Cart</sup>:
\triangleleft \triangleleft \text{Cart} : \forall \{ \ell 0 \ \ell 1 \ \ell 2 \ \ell 3 \ \kappa 0 \ \kappa 1 \ \kappa 2 \ \kappa 3 \}
```

```
\rightarrow {p : Poly \ell0 \kappa0} (q : Poly \ell2 \kappa2) {f : p \leftrightarrows q}
             \rightarrow {r : Poly \ell1 \kappa1} (s : Poly \ell3 \kappa3) {g : r \leftrightarrows s}
             → isCartesian q f → isCartesian s g
             \rightarrow isCartesian (q \triangleleft s) (f \triangleleft \triangleleft[ s ] g)
(cf a) (\lambda x \rightarrow cg (\gamma (f\sharp a x)))
module \triangleleft AssocCart \{\ell 0 \ \ell 1 \ \ell 2 \ \kappa 0 \ \kappa 1 \ \kappa 2\} (p : Poly \ell 0 \ \kappa 0)
                            (q : Poly \ell1 \kappa1) (r : Poly \ell2 \kappa2) where
      \triangleleftassocCart : isCartesian (p \triangleleft (q \triangleleft r)) (\triangleleftassoc p q r)
      ⊲assocCart _ =
            Iso\rightarrowisEquiv (snd (\triangleleftassoc^{-1} p q r) _ , ((\lambda _ \rightarrow refl) , (\lambda _ \rightarrow refl)))
      \triangleleft assoc^{-1}Cart : isCartesian ((p \triangleleft q) \triangleleft r) (\triangleleft assoc^{-1} p q r)
      ⊲assoc<sup>-1</sup>Cart _ =
            Iso \rightarrow is Equiv (snd (\triangleleftassoc p q r) _ , ((\lambda _ \rightarrow refl) , (\lambda _ \rightarrow refl)))
open ⊲AssocCart public
module \triangleleft LRUnitCart \{ \ell \ \kappa \} (p : Poly \ell \ \kappa) where
      dunitlCart : isCartesian p (dunitl p)
      \forall unitl^{-1}Cart : isCartesian (y \triangleleft p) (\forall unitl^{-1} p)
      \forall unitl^{-1}Cart = Iso \rightarrow isEquiv (snd (<math>\forall unitl p) = , ((\lambda \rightarrow refl), (\lambda \rightarrow refl)))
      dunitrCart : isCartesian p (dunitr p)
      \forall unitrCart = Iso \rightarrow isEquiv (snd (<math>\forall unitr^{-1} p) = , ((\lambda \rightarrow refl), (\lambda \rightarrow refl)))
      \triangleleftunitr<sup>-1</sup>Cart : isCartesian (p \triangleleft y) (\triangleleftunitr<sup>-1</sup> p)
      \forall unitr^{-1}Cart = Iso \rightarrow isEquiv (snd (<math>\forall unitr p) = , ((\lambda \rightarrow refl), (\lambda \rightarrow refl))
open ⊲LRUnitCart public
    Proof of Theorem 4.1:
module PolyMonad \{\ell \ \kappa\} (u : Poly \ell \ \kappa) (univ : isUnivalent u)
                          (\eta : y \leftrightarrows u) (\eta cart : isCartesian u \eta)
                          (\mu : (u \triangleleft u) \leftrightarrows u) (\mu cart : isCartesian u \mu) where
      idl : EqLens u (\triangleleftunitl u) (comp u (\eta \triangleleft \triangleleft[ u ] (id u)) \mu)
      idl = univ (\triangleleftunitlCart u) (compCartesian u (\triangleleft\triangleleftCart u u \etacart (idCart u)) \mucart)
      idr : EqLens u (\triangleleftunitr u) (comp u (id u \triangleleft \triangleleft[ u ] \eta) \mu)
      idr = univ (\triangleleft unitrCart u) (compCartesian u (<math>\triangleleft \triangleleft Cart u u (idCart u) \eta cart) \mu cart)
      assoc : EqLens u (comp u (dassoc u u u) (comp u ((id u) \triangleleft \triangleleft[ u ] \mu) \mu))
                                (comp u (\mu \triangleleft \neg [u] (id u)) \mu)
      assoc = univ (compCartesian u (⊲assocCart u u u)
                                                    (compCartesian u (\triangleleft \squareCart u u (idCart u) \mucart)
                                                                              \mucart))
```

```
(compCartesian u (\triangleleft \triangleleftCart u u \mucart (idCart u)) \mucart)
```

open PolyMonad public

 $y \uparrow \uparrow Cart (_ , x) =$

Iso \rightarrow isEquiv (($\lambda \not\vdash \rightarrow \not\vdash$ tt)

, ((λ a \rightarrow refl)

D The ↑ Functor and Distributive Laws in Agda

This module sets up the necessary definitions and lemmas for the proof of Theorem 4.2.

```
{-# OPTIONS --without-K --rewriting #-}
module prod where
open import Agda. Primitive
open import Agda.Builtin.Sigma
open import Agda.Builtin.Unit
open import Agda.Builtin.Equality
open import Agda.Builtin.Equality.Rewrite
open import hott
open import poly
open import sum
     Definition of the \uparrow\uparrow functor:
_{-\uparrow\uparrow_{-}} : \forall {\ell0 \ell1 \kappa0 \kappa1} → Poly \ell0 \kappa0 → Poly \ell1 \kappa1
           \rightarrow Poly (\ell0 \sqcup \kappa0 \sqcup \ell1) (\kappa0 \sqcup \kappa1)
(A, B) \uparrow \uparrow (C, D) =
        (\Sigma A (\lambda a \rightarrow B a \rightarrow C)
        , (\lambda (a, f) \rightarrow (b : B a) \rightarrow D (f b)))
     Functoriality of \uparrow \uparrow:
\uparrow\uparrowLens : \forall {\ell0 \ell1 \ell2 \ell3 \kappa0 \kappa1 \kappa2 \kappa3}
               \rightarrow {p : Poly \ell0 \kappa0} (r : Poly \ell2 \kappa2)
              \rightarrow {q : Poly \ell1 \kappa1} (s : Poly \ell3 \kappa3)
              \rightarrow (f : p \leftrightarrows r) (f' : r \leftrightarrows p)
               → EqLens p (id p) (comp p f f')
               \rightarrow (g : q \leftrightarrows s) \rightarrow (p \uparrow \uparrow q) \leftrightarrows (r \uparrow \uparrow s)
\uparrow\uparrow Lens \ \{p=p\} \ r \ s \ (f \ , \ f\sharp) \ (f' \ , \ f'\sharp) \ (e \ , \ e\sharp) \ (g \ , \ g\sharp) = \\ (\ (\lambda \ (a \ , \ \gamma) \ \rightarrow \ (f \ a \ , \ (\lambda \ x \ \rightarrow \ g \ (\gamma \ (f\sharp \ a \ x)))))
        , (\lambda (a , \gamma) \digamma x \rightarrow
                g\sharp (\gamma x)
                      (transp (\lambda y \rightarrow snd s (g (\gamma y)))
                                     (sym (e \sharp a x))
                                     (\digamma (f'\sharp (f a) (transp (snd p) (e a) x))))))
     Interaction of ↑↑ with ⊲ in its first argument, exhibiting ↑↑ as a monoidal action, which moreover
descends to a monoidal action on Poly<sup>Cart</sup>:
module Unit\uparrow\uparrow {\ell \kappa} (p : Poly \ell \kappa) where
       y \uparrow \uparrow : (y \uparrow \uparrow p) \subseteq p
       y \uparrow \uparrow = ( (\lambda (_ , a) \rightarrow a tt) , \lambda (_ , a) b tt \rightarrow b)
       y\!\!\uparrow\!\!\uparrow\!\!\mathsf{Cart} : isCartesian p y\!\!\uparrow\!\!\uparrow
```

```
, \lambda b \rightarrow refl))
open Unit↑↑ public
module \triangleleft \uparrow \uparrow \{ \ell 0 \ \ell 1 \ \ell 2 \ \kappa 0 \ \kappa 1 \ \kappa 2 \} (p : Poly \ell 0 \ \kappa 0)
                    (q : Poly \ell1 \kappa1) (r : Poly \ell2 \kappa2) where

\uparrow \uparrow Curry : ((p \triangleleft q) \uparrow \uparrow r) \leftrightarrows (p \uparrow \uparrow (q \uparrow \uparrow r))

        \uparrow\uparrow \texttt{Curry} = (\ (\lambda\ ((\texttt{a}\ \texttt{,}\ \texttt{h})\ \texttt{,}\ \texttt{k})
                                   \rightarrow (a , (\lambda b \rightarrow ( (h b)
                                                               , (\lambda d \rightarrow k (b , d)))))
                          , (\lambda ((a , h) , k) f (b , d) \rightarrow f b d) )
        \uparrow\uparrowCurryCart : isCartesian (p \uparrow\uparrow (q \uparrow\uparrow r)) \uparrow\uparrowCurry
        f)CurryCart ((a , h) , k) =
                Iso\rightarrowisEquiv ( (\lambda f b d \rightarrow f (b , d))
                                        , ( (\lambda f \rightarrow refl)
                                           , (\lambda f \rightarrow refl) ) )
open ⊲↑↑ public
      Interaction of ↑↑ with ⊲ in its second argument, exhibiting ↑↑ as a colax monoidal functor, which
moreover descends to a colax monoidal functor on Poly<sup>Cart</sup>:
module \uparrow\uparrowUnit \{\ell \ \kappa\} (p : Poly \ell \ \kappa) where
        \uparrow \uparrow y : (p \uparrow \uparrow y) \leq y
        \uparrow \uparrow y = ((\lambda (a, \gamma) \rightarrow tt), \lambda (a, \gamma) tt b \rightarrow tt)
        \uparrow \uparrow y \texttt{Cart} : is Cartesian y \uparrow \uparrow y
        \uparrow \uparrow yCart (x , \gamma) =
                Iso\rightarrowisEquiv ( (\lambda x \rightarrow tt)
                                       , ( (\lambda a \rightarrow refl)
                                           , \lambda b \rightarrow refl))
open ↑↑Unit public
module \uparrow \uparrow \triangleleft \{\ell 0 \ \ell 1 \ \ell 2 \ \kappa 0 \ \kappa 1 \ \kappa 2\} (p : Poly \ell 0 \ \kappa 0)
                    (q : Poly \ell1 \kappa1) (r : Poly \ell2 \kappa2) where
        \uparrow\uparrow {\tt Distr} = (\ (\lambda\ ({\tt a\ ,\ h})
                                   \rightarrow ( (a , (\lambda b \rightarrow fst (h b)))
                                       , \lambda f \rightarrow (a , (\lambda b \rightarrow snd (h b) (f b))) ))
                          , (\lambda (a, h) (f, g) b \rightarrow (f b, g b))
        \uparrow\uparrow \texttt{DistrCart} \; : \; \texttt{isCartesian} \; ((\texttt{p} \; \uparrow\uparrow \; \texttt{q}) \; \triangleleft \; (\texttt{p} \; \uparrow\uparrow \; \texttt{r})) \; \uparrow\uparrow \texttt{Distr}
        \uparrow \uparrowDistrCart (a , h) =
                Iso\rightarrowisEquiv ( (\lambda f \rightarrow ( (\lambda b \rightarrow fst (f b))
                                                       , (\lambda \ b \rightarrow \operatorname{snd} \ (f \ b)) ))
                                        , ( (\lambda (f , g) \rightarrow refl)
                                           , (\lambda f \rightarrow refl)))
open ↑↑⊲ public
```

open ↑↑[]Functor public

```
The putative distributive law induced by \uparrow \uparrow:
distrLaw? : \forall \{\ell \ \kappa\} \ (u : Poly \ \ell \ \kappa) \rightarrow (u \ \uparrow \ u) \leftrightarrows u
                     \rightarrow (u \triangleleft u) \leftrightarrows (u \triangleleft u)
distrLaw? u (\pi , \pi \sharp) =
       ( (\lambda (a , b) \rightarrow \pi (a , b) , (\lambda x \rightarrow a))
       , \lambda (a , b) (f , x) \rightarrow (x , (\pi\sharp ((a , b)) f x)) )
     Generalizing \(\frac{1}{1}\) to an action of the twisted arrow category of Poly on Poly and Poly<sup>Cart</sup>:
\_\uparrow\uparrow [\_] [\_] \_ : \ \forall \ \{\ell \ \ell' \ \ell'' \ \kappa \ \kappa' \ \kappa'''\}
                     \rightarrow (p : Poly \ell \kappa) (q : Poly \ell' \kappa')
                     \rightarrow (p \leftrightarrows q) \rightarrow (r : Poly \ell'' \kappa'')
                     \rightarrow Poly (\bar{\ell} \sqcup \kappa \sqcup \ell'') (\kappa' \sqcup \kappa'')
(A , B) \uparrow\uparrow[ (C , D) ][ (f , f\sharp) ] (E , F) =
      ((\Sigma A (\lambda a \rightarrow B a \rightarrow E))
      , (\lambda (a, \epsilon) \rightarrow (d : D (f a)) \rightarrow F (\epsilon (f \sharp a d))))
module \uparrow\uparrow [] Functor \{\ell 0 \ \ell 1 \ \ell 2 \ \ell 3 \ \ell 4 \ \ell 5 \ \kappa 0 \ \kappa 1 \ \kappa 2 \ \kappa 3 \ \kappa 4 \ \kappa 5\}
                  \{p : Poly \ell 0 \kappa 0\} \{p' : Poly \ell 3 \kappa 3\}
                  (q : Poly \ell 1 \kappa 1) \{q' : Poly \ell 4 \kappa 4\}
                  \{r : Poly \ell 2 \kappa 2\} (r' : Poly \ell 5 \kappa 5)
                  (f : p \leftrightarrows q) (f' : p' \leftrightarrows q')
                  (g : p \leftrightarrows p') (h : q' \leftrightarrows q) (k : r \leftrightarrows r')
                  (e : EqLens q f (comp q g (comp q f' h))) where
       \uparrow\uparrow[]Lens : (p \uparrow\uparrow[q][f]r) \leftrightarrows (p' \uparrow\uparrow[q'][f']r')
       \uparrow\uparrow [] Lens =
              ( (\lambda (a , \gamma) \rightarrow (fst g a , \lambda x \rightarrow fst k (\gamma (snd g a x))))
              , \lambda (a , \gamma) \digamma x \rightarrow
                     snd k (\gamma (snd f a x))
                          (transp (\lambda y \rightarrow snd r' (fst k (\gamma y)))
                                         (sym (snd e a x))
                                         (F (snd h (fst f' (fst g a))
                                                     (transp (snd q) (fst e a) x)))) )
       \uparrow \uparrow [] LensCart : isCartesian q h \rightarrow isCartesian r' k
                                \rightarrow isCartesian (p' \uparrow\uparrow[ q' ][ f' ] r') \uparrow\uparrow[]Lens
       \uparrow\uparrow [] LensCart ch ck (a , \gamma) =
              compIsEquiv
                     (PostCompEquiv (\lambda x \rightarrow snd k (\gamma (snd f a x)))
                                                (\lambda x \rightarrow ck (\gamma (snd f a x))))
                     (compIsEquiv
                            (PostCompEquiv
                                   (\lambda x \rightarrow \text{transp } (\lambda y \rightarrow \text{snd r' (fst k } (\gamma y)))
                                                            (sym (snd e a x)))
                                   (\lambda x \rightarrow transpIsEquiv (sym (snd e a x))))
                            (compIsEquiv
                                   (PreCompEquiv (transp (snd q) (fst e a))
                                                            (transpIsEquiv (fst e a)))
                                   (PreCompEquiv (\lambda x \rightarrow \text{snd h (fst f' (fst g a)) } x)
                                                            (ch (fst f' (fst g a))))))
```

Extension of properties noted above for $\uparrow \uparrow$ to the generalized $\uparrow \uparrow$: $y \uparrow \uparrow [] : \forall \{\ell \kappa\} \text{ (p : Poly } \ell \kappa) \rightarrow (y \uparrow \uparrow [y] [\text{ (id } y)] \text{ p)} \leftrightarrows \text{p}$ $y \uparrow \uparrow [] p = ((\lambda (_ , \gamma) \rightarrow \gamma \text{ tt}) , \lambda (_ , \gamma) \not\vdash _ \rightarrow \not\vdash)$ $\uparrow\uparrow [] Curry : \forall \{\ell 0 \ \ell 1 \ \ell 2 \ \ell 3 \ \ell 4 \ \kappa 0 \ \kappa 1 \ \kappa 2 \ \kappa 3 \ \kappa 4\}$ \rightarrow (p : Poly ℓ 0 κ 0) (q : Poly ℓ 1 κ 1) \rightarrow (r : Poly ℓ 2 κ 2) (s : Poly ℓ 3 κ 3) \rightarrow (t : Poly ℓ 4 κ 4) $\rightarrow (f : p \leftrightarrows q) (g : r \leftrightarrows s)$ \rightarrow ((p \land r) $\uparrow \uparrow [$ q \land s][f \land \land [s] g] t) \leftrightarrows (p $\uparrow \uparrow [q][f](r <math>\uparrow \uparrow [s][g]t)$) f)[]Curry p q r s t f g = ((λ ((a , h) , k) \rightarrow a , (λ b \rightarrow (h b) , (λ d \rightarrow k (b , d)))) , λ ((a , h) , k) \digamma (b , d) \rightarrow \digamma b d) $\uparrow\uparrow$ [] y : \forall { ℓ 0 κ 0 ℓ 1 κ 1} (p : Poly ℓ 0 κ 0) (q : Poly ℓ 1 κ 1) \rightarrow (f : p \leftrightarrows q) \rightarrow (p $\uparrow \uparrow [q] [f] y) <math>\leftrightarrows y$ $\uparrow\uparrow$ []y p q f = ((λ _ \rightarrow tt) , λ _ _ _ \rightarrow tt) $\uparrow\uparrow [] Distr : \forall \{ \ell 0 \ \ell 1 \ \ell 2 \ \ell 3 \ \ell 4 \ \kappa 0 \ \kappa 1 \ \kappa 2 \ \kappa 3 \ \kappa 4 \}$ \rightarrow (p : Poly ℓ 0 κ 0) (q : Poly ℓ 1 κ 1) (r : Poly ℓ 2 κ 2) \rightarrow (s : Poly ℓ 3 κ 3) (t : Poly ℓ 4 κ 4) $\rightarrow (f : p \leftrightarrows q) (g : q \leftrightarrows r)$ \rightarrow (p $\uparrow \uparrow [r] [comp r f g] (s < t))$ $\leftrightarrows ((p \uparrow [q][f]s) \triangleleft (q \uparrow [r][g]t))$, λ (a , h) (k1 , k2) d \rightarrow (k1 (g \sharp (f a) d)) , k2 d) Equality of distributors: EqDistributor : \forall { ℓ 0 ℓ 1 ℓ 2 ℓ 3 κ 0 κ 1 κ 2 κ 3} \rightarrow (p : Poly ℓ 0 κ 0) (q : Poly ℓ 1 κ 1) \rightarrow (r : Poly ℓ 2 κ 2) (s : Poly ℓ 3 κ 3) $\rightarrow \ (p \ \triangleleft \ r) \ \leftrightarrows \ (s \ \triangleleft \ q) \ \rightarrow \ (p \ \triangleleft \ r) \ \leftrightarrows \ (s \ \triangleleft \ q)$ → Type (ℓ 0 \sqcup ℓ 1 \sqcup $\bar{\ell}$ 2 \sqcup $\bar{\ell}$ 3 \sqcup κ 0 \sqcup κ 1 \sqcup $\bar{\kappa}$ 2 \sqcup κ 3) EqDistributor p q r s (f , $f\sharp$) (g , $g\sharp$) = (a : fst p) (γ : snd p a \rightarrow fst r) $\rightarrow \Sigma$ (fst (f (a , γ)) \equiv fst (g (a , γ))) $(\lambda \text{ e1} \rightarrow (\text{x : snd s (fst (f (a , }\gamma)))})$ $\rightarrow \Sigma$ ((snd (f (a , γ)) x) \equiv (snd (g (a , γ)) (transp (snd s) e1 x))) $(\lambda \text{ e2} \rightarrow (y : \text{snd q (snd (f (a , <math>\gamma)) x)})$ \rightarrow (f# (a , γ) (x , y)) \equiv (g \sharp (a , γ) ((transp (snd s) e1 x) , (transp (snd q) e2 y))))) Converting from lenses out of $_{-\uparrow\uparrow}[_{-}][_{-}]_{-}$ to distributors: $\uparrow\uparrow$ Distributor : \forall { ℓ 0 ℓ 1 ℓ 2 ℓ 3 κ 0 κ 1 κ 2 κ 3} \rightarrow {p : Poly ℓ 0 κ 0} (q : Poly ℓ 1 κ 1) \rightarrow (r : Poly $\ell 2 \kappa 2$) {s : Poly $\ell 3 \kappa 3$ }

```
\neg \{f : p \leftrightharpoons q\}
                                 \rightarrow (p \uparrow\uparrow[ q ][ f ] r) \leftrightarrows s
                                 \rightarrow (p \triangleleft r) \leftrightarrows (s \triangleleft q)
\uparrow\uparrow \rightarrow Distributor q r \{f = (f, f\sharp)\} (g, g\sharp) =
        ( (\lambda (a , h) \rightarrow g (a , h) , \hat{\lambda} d' \rightarrow f a)
        , \lambda (a , h) (d' , d)
                \rightarrow f\sharp a d , g\sharp (a , h) d' d)
```

Functoriality of distributors:

```
module DistributorLens \{\ell 0 \ \ell 1 \ \ell 2 \ \ell 3 \ \ell 4 \ \ell 5 \ \ell 6 \ \ell 7 \}
                                             \kappa 0 \kappa 1 \kappa 2 \kappa 3 \kappa 4 \kappa 5 \kappa 6 \kappa 7
                                            \{p : Poly \ \ell 0 \ \kappa 0\} \ \{p' : Poly \ \ell 4 \ \kappa 4\}
                                            \{q : Poly \ell 1 \kappa 1\} (q' : Poly \ell 5 \kappa 5)
                                           (r : Poly \ell2 \kappa2) {r' : Poly \ell6 \kappa6} {s : Poly \ell3 \kappa3} (s' : Poly \ell7 \kappa7)
                                            (g : p' \leftrightarrows p) (h : q \leftrightarrows q')
                                           (k : r' \stackrel{\leftarrow}{\rightarrow} r) (1 : s \stackrel{\leftarrow}{\rightarrow} s') where
       distrLens : (p \triangleleft r) \leftrightarrows (s \triangleleft q) \rightarrow (p' \triangleleft r') \leftrightarrows (s' \triangleleft q')
       distrLens j =
               \texttt{comp (s' \triangleleft q') (g \triangleleft \triangleleft [r] k)}
                        (comp ((s' \triangleleft q')) j
                                    (1 \triangleleft \triangleleft [ q' ] h))
       \uparrow\uparrow-DistributorLens : \{f: p \leftrightarrows q\} \rightarrow (p \uparrow\uparrow [q][f] r) \leftrightarrows s
                                             \rightarrow (p' \uparrow\uparrow[ q' ][ comp q' g (comp q' f h) ] r') \leftrightarrows s'
       \uparrow\uparrow \rightarrow DistributorLens \{f = f\} j =
               comp s' (\uparrow\uparrow []Lens q' r (comp q' g (comp q' f h)) f
                                               g h k ((\lambda a \dashv refl) , (\lambda a d \dashv refl)))
                         (comp s' j 1)
       \uparrow \rightarrow DistributorLens \equiv : \{f : p \leftrightharpoons q\} (j : (p \uparrow [q][f] r) \leftrightharpoons s)
                                               → distrLens (↑↑→Distributor q r j)
                                                  ↑↑→DistributorLens≡ j = refl
```

open DistributorLens public

There are two distinct ways of composing distributors:

1. Given distributors $j1: p \triangleleft s \leftrightharpoons t \triangleleft q$ and $j2: q \triangleleft u \leftrightharpoons v \triangleleft r$, we obtain a distributor $p \triangleleft (s \triangleleft u) \leftrightharpoons (t \triangleleft v) \triangleleft r$ as the composite

```
p \triangleleft (s \triangleleft u) \simeq (p \triangleleft s) \triangleleft u \xrightarrow{j1 \triangleleft u} (t \triangleleft q) \triangleleft u \simeq t \triangleleft (q \triangleleft u) \xrightarrow{j2} t \triangleleft (v \triangleleft r) \simeq (t \triangleleft v) \triangleleft r
module DistributorComp1 \{\ell 0 \ \ell 1 \ \ell 2 \ \ell 3 \ \ell 4 \ \ell 5 \ \ell 6 \ \kappa 0 \ \kappa 1 \ \kappa 2 \ \kappa 3 \ \kappa 4 \ \kappa 5 \ \kappa 6\}
                                                                        \{p : Poly \ \ell 0 \ \kappa 0\} \ \{q : Poly \ \ell 1 \ \kappa 1\} \ (r : Poly \ \ell 2 \ \kappa 2)
                                                                        \{s : Poly \ \ell 3 \ \kappa 3\} \ \{t : Poly \ \ell 4 \ \kappa 4\}
                                                                        (u : Poly \ell 5 \kappa 5) {v : Poly \ell 6 \kappa 6} where
            \texttt{distrComp1} \; : \; (\texttt{p} \, \triangleleft \, \texttt{s}) \; \leftrightarrows \; (\texttt{t} \, \triangleleft \, \texttt{q}) \, \rightarrow \, (\texttt{q} \, \triangleleft \, \texttt{u}) \; \leftrightarrows \; (\texttt{v} \, \triangleleft \, \texttt{r})
                                                  \rightarrow (p \triangleleft (s \triangleleft u)) \leftrightarrows ((t \triangleleft v) \triangleleft r)
            distrComp1 h k =
                        comp ((t \triangleleft v) \triangleleft r) ( \triangleleft assoc^{-1} p s u)
```

The corresponding construction on morphisms (p $\uparrow\uparrow$ [q] [f] s) \leftrightarrows t and (q $\uparrow\uparrow$ [r] [g] u) \leftrightarrows v is to form the following composite with the colaxator of $\bot\uparrow\uparrow$ [\bot] [\bot]:

open DistributorComp1 public

2. Given distributors $p \triangleleft u \leftrightarrows v \triangleleft q$ and $r \triangleleft t \leftrightarrows u \triangleleft s$, we obtain a distributor $(p \triangleleft r) \triangleleft t \leftrightarrows v \triangleleft (q \triangleleft s)$ as the composite

```
(p \triangleleft r) \triangleleft t \simeq p \triangleleft (r \triangleleft t) \leftrightarrows p \triangleleft (u \triangleleft s) \simeq (p \triangleleft u) \triangleleft s \leftrightarrows (v \triangleleft q) \triangleleft s \simeq v \triangleleft (q \triangleleft s)
```

module DistributorComp2

 $\{\ell 0 \ \ell 1 \ \ell 2 \ \ell 3 \ \ell 4 \ \ell 5 \ \ell 6 \ \kappa 0 \ \kappa 1 \ \kappa 2 \ \kappa 3 \ \kappa 4 \ \kappa 5 \ \kappa 6\}$

 $\{ { t p} \ : \ { t Poly} \ \ell 0 \ \kappa 0 \} \ \{ { t q} \ : \ { t Poly} \ \ell 1 \ \kappa 1 \}$

The corresponding construction on morphisms (p $\uparrow\uparrow$ [q] [f] u) \leftrightarrows v and (r $\uparrow\uparrow$ [s] [g] t) \leftrightarrows u is to form the following composite with the morphism $\uparrow\uparrow$ [] Curry defined above:

```
(p \triangleleft r) \uparrow \uparrow [q \triangleleft s][f \triangleleft g]t \leftrightarrows p \uparrow \uparrow [q][f](r \uparrow \uparrow [s][g]t) \leftrightarrows p \uparrow \uparrow [q][f]u \leftrightarrows v \uparrow \uparrow \rightarrow \text{DistributorComp2} : \{f : p \leftrightarrows q\} \{g : r \leftrightarrows s\} \rightarrow (r \uparrow \uparrow [s][g]t) \leftrightarrows u \rightarrow (p \uparrow \uparrow [q][f]u) \leftrightarrows v
```

open DistributorComp2 public

Likewise, there are two corresponding notions of "identity distributor" on a polynomial p, the first of which is given by the following composition of unitors for \triangleleft :

$$p \triangleleft y \simeq p \simeq y \triangleleft p$$

and the second of which is given by the inverse such composition

$$y \triangleleft p \simeq p \simeq p \triangleleft y$$

```
module DistributorId \{\ell \ \kappa\} (p : Poly \ell \ \kappa) where distrId1 : (p \triangleleft y) \leftrightarrows (y \triangleleft p) distrId1 = comp (y \triangleleft p) (\triangleleftunitr p) (\triangleleftunitl<sup>-1</sup> p) distrId2 : (y \triangleleft p) \leftrightarrows (p \triangleleft y) distrId2 = comp (p \triangleleft y) (\triangleleftunitl p) (\triangleleftunitr<sup>-1</sup> p)
```

The corresponding morphisms $p \uparrow [p][id p] y \leftrightarrows y$ and $y \uparrow [y][id y] p \leftrightarrows p$ are precisely the maps $\uparrow []y$ and $y \uparrow []$ defined above, respectively:

```
\uparrow \rightarrow DistributorId1 \equiv : distrId1 \equiv \uparrow \uparrow \rightarrow Distributor p y ( \uparrow \uparrow [] y p p (id p))
\uparrow \rightarrow DistributorId1 \equiv = ref1
\uparrow \rightarrow DistributorId2 \equiv : distrId2 \equiv \uparrow \uparrow \rightarrow Distributor y p (y \uparrow \uparrow [] p)
\uparrow \rightarrow DistributorId2 \equiv = ref1
```

open DistributorId public

Proof of Theorem 4.2:

```
ap\uparrow\uparrow-Distributor : \forall {\ell0 \ell1 \ell2 \ell3 \kappa0 \kappa1 \kappa2 \kappa3}

\rightarrow (p : Poly \ell0 \kappa0) (q : Poly \ell1 \kappa1)

\rightarrow (r : Poly \ell2 \kappa2) (s : Poly \ell3 \kappa3)

\rightarrow (f : p \leftrightarrows q)

\rightarrow (h k : (p \uparrow\uparrow [ q ] [ f ] r) \leftrightarrows s)

\rightarrow EqLens s h k

\rightarrow EqDistributor p q r s
```

```
(↑↑→Distributor q r h)
                                         (\uparrow \uparrow \rightarrow Distributor q r k)
ap\uparrow \uparrow →Distributor p q r s f h k (e , e#) a \gamma =
       ( e (a , \gamma) , \lambda x \rightarrow ( refl
                    , (\lambda y \rightarrow pairEq refl
                                         (coAp (e\sharp (a , \gamma) x) y)) )
module DistrLaw \{\ell \ \kappa\} (u : Poly \ \ell \ \kappa) (univ : isUnivalent u)
                           (\eta : y \leftrightarrows u) (c\eta : isCartesian u \eta)
                           (\sigma : (u \triangleleft u) \leftrightarrows u) (c\sigma : isCartesian u \sigma)
                           (\pi : (u \uparrow \uparrow u) \leftrightarrows u) (c\pi : isCartesian u \pi) where
      {\tt distrLaw1} \; : \; {\tt EqDistributor} \; u \; u \; (u \, \triangleleft \, u) \; u
                                  (distrLens u (u \triangleleft u) u (id u) (id u) (id (u \triangleleft u)) \sigma
                                                     (distrComp1 u u (distrLaw? u \pi)
                                                                                (distrLaw? u \pi)))
                                  (distrLens u u (id u) (id u) \sigma (id u)
                                                     (distrLaw? u \pi))
      distrLaw1 = ap \uparrow \uparrow \rightarrow Distributor u u (u \triangleleft u) u (id u)
                                  (comp u (comp (u \triangleleft u) (\uparrow Distr u \ u \ u) (\pi \triangleleft ([u] \pi)) \sigma)
                                  (comp u (\uparrow\uparrow[]Lens u u (id u) (id u) (id u) (id u) \sigma
                                                               ((\lambda \text{ a} \rightarrow \text{refl}), (\lambda \text{ a d} \rightarrow \text{refl}))) \pi)
                                  (univ (compCartesian u
                                                      (compCartesian (u \triangleleft u)
                                                             (\uparrow\uparrowDistrCart u u u)
                                                             (\triangleleft \Box Cart \ u \ u \ c\pi \ c\pi))
                                                      c\sigma)
                                            (compCartesian u)
                                                (\uparrow\uparrow[]LensCart u u (id u) (id u) (id u) (id u) \sigma
                                                       ((\lambda \text{ a } \dashv \text{refl}) \text{ , } (\lambda \text{ a d } \dashv \text{refl}))
                                                       (idCart u) c\sigma)
                                                c\pi))
      distrLaw2 : EqDistributor (u \triangleleft u) u \mid u \mid u
                                  (distrLens u u u (id (u \triangleleft u)) \sigma (id u) (id u)
                                                     (distrComp2 u u (distrLaw? u \pi)
                                                                                (distrLaw? u \pi)))
                                  (distrLens u u \sigma (id u) (id u) (id u)
                                                     (distrLaw? u \pi))
      distrLaw2 = ap\uparrow\uparrow \rightarrowDistributor (u \triangleleft u) u u u \sigma
                                  (comp u
                                         (comp (u \uparrow u)
                                                (comp (u \uparrow (u \uparrow u))
                                                      (\uparrow\uparrow[]Lens u u \sigma (id (u \triangleleft u))
                                                             (id (u \triangleleft u)) \sigma (id u)
                                                             ((\lambda \text{ a} \rightarrow \text{refl}), (\lambda \text{ a d} \rightarrow \text{refl})))
                                                       (\uparrow \uparrow \texttt{Curry} \ u \ u \ u))
                                                (\uparrow\uparrow Lens \ u \ (id \ u) \ (id \ u)
                                                            ((\lambda a \rightarrow refl), (\lambda a d \rightarrow refl))
                                                           \pi))
                                         \pi)
                                  (comp u (\uparrow\uparrow []Lens u u \sigma (id u) \sigma (id u) (id u)
```

```
((\lambda a \rightarrow refl), (\lambda a d \rightarrow refl)))
                                      \pi)
                          (univ (compCartesian \boldsymbol{u}
                                       (compCartesian (u \uparrow u)
                                             (compCartesian (u \uparrow (u \uparrow u))
                                                   (\uparrow\uparrow [] LensCart u u \sigma (id (u \triangleleft u))
                                                          (id (u \triangleleft u)) \sigma (id u)
                                                          ((\lambda \texttt{ a} \to \texttt{refl}) \texttt{ , } (\lambda \texttt{ a} \texttt{ d} \to \texttt{refl}))
                                                         c\sigma (idCart u))
                                                   (\uparrow\uparrowCurryCart u u u))
                                             (\uparrow\uparrow[]LensCart u u (id u) (id u) (id u) (id u) \pi
                                                                  ((\lambda a \rightarrow refl), (\lambda a d \rightarrow refl))
                                                                  (idCart u) c\pi))
                                      c\pi)
                                   (compCartesian u)
                                       (\uparrow\uparrow [] LensCart u u \sigma (id u) \sigma (id u) (id u)
                                             ((\lambda a \rightarrow refl), (\lambda a d \rightarrow refl))
                                             (idCart u) (idCart u))
                                      c\pi)
{\tt distrLaw3} : EqDistributor u u y u
                          (distrLens u y u (id u) (id u) (id y) \eta (distrId1 u))
                          (distrLens u u (id u) (id u) \eta (id u) (distrLaw? u \pi))
distrLaw3 =
      ap↑→Distributor u u y u (id u)
             (comp \ u \ (\uparrow \uparrow y \ u) \ \eta)
             (comp u (\uparrow\uparrowLens u u (id u) (id u) ((\lambda a \rightarrow refl) , (\lambda a d \rightarrow refl)) \eta) \pi)
             (univ (compCartesian u (\uparrow \uparrow yCart u) c\eta)
                      (compCartesian u)
                          (\uparrow\uparrow[]LensCart u u (id u) (id u) (id u) (id u) \eta
                                              ((\lambda a \rightarrow refl) , (\lambda a d \rightarrow refl))
                                              (idCart u) c\eta)
                         c\pi))
{\tt distrLaw4} \; : \; {\tt EqDistributor} \; y \; u \; u \; u
                          (distrLens u u (id y) \eta (id u) (id u) (distrId2 u))
                          (distrLens u u \eta (id u) (id u) (id u) (distrLaw? u \pi))
distrLaw4 =
      ap\uparrow↑→Distributor y u u u \eta
             (comp u (\uparrow\uparrow []Lens u u \eta (id y) (id y) \eta (id u)
                                        ((\lambda \text{ a} \rightarrow \text{refl}), (\lambda \text{ a d} \rightarrow \text{refl})))
                          (y \uparrow \uparrow u)
             (comp u (\uparrow\uparrow []Lens u u \eta (id u) \eta (id u) (id u)
                                        ((\lambda a \rightarrow refl), (\lambda a d \rightarrow refl)))
                         \pi)
             (univ (compCartesian u
                          (\uparrow\uparrow[]LensCart u u \eta (id y) (id y) \eta (id u)
                                              ((\lambda \texttt{ a} \to \texttt{refl}) \texttt{ , } (\lambda \texttt{ a} \texttt{ d} \to \texttt{refl}))
                                              c\eta (idCart u))
                          (y \uparrow \uparrow Cart u)
                      (compCartesian u)
                          (\uparrow\uparrow[]LensCart u u \eta (id u) \eta (id u) (id u)
                                              ((\lambda a \rightarrow refl), (\lambda a d \rightarrow refl))
```

 $(\mathtt{idCart}\ u)\ (\mathtt{idCart}\ u))$

 $c\pi))$